# Strict Principles for Lazy Sequences

Aljoscha Meyer Technical University Berlin Berlin, Germany research@aljoscha-meyer.de

# Abstract

Many common programming tasks, such as networking, are conceptually about lazily working with sequences of unknown length. There are plenty of APIs to choose from — stream and sink, reader and writer, iterator and oopsmissing-counterpart. But these APIs typically vary between languages or libraries. Even within a single ecosystem, there often are inconsistencies between the processing models the different APIs induce.

We argue that a unified design is possible. We aim to provide a starting point for future language and library designers, as well as raise several interesting research questions that arise from taking a principled look at lazy sequences.

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# 1 Introduction

When sequences of data become too large to fit into memory at once, programs need to process them lazily. From the humble iterator to asynchronous APIs for streams and sinks with error handling and buffering, every language needs libraries for working with lazy sequences.

For such a fundamental, conceptually simple, and languageagnostic problem, one might expect a principled, unified solution that programming language designers and library authors can turn to and implement in their language of choice.

But the opposite is the case. Learning a new programming language implies learning yet another, slightly (or not so slightly) different set of APIs for working with sequences. Even within a single language, there are often competing libraries — appendix [A](#page-12-0) lists some thirty popular Javascript libraries alone.

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Starting from "Which abstraction is the best?", we quickly moved to "Is there a best abstraction?", and then to the more constructive "What would make an abstraction the best?". In this essay, we present our answers to these questions. In a nutshell:

- 1. Abstractions for working with lazy sequences in the wild are ad-hoc designs.
- 2. We propose a principled way of evaluating them.
- 3. No prior abstractions satisfy all evaluation criteria.
- 4. We develop abstractions that do.
- 5. Everybody everywhere should use our abstractions without further reflection.

We further argue that common designs are needlessly inconsistent.

To give a concrete example: in Rust, the (de-facto standard) Stream API<sup>[1](#page-0-0)</sup> for receiving data from an asynchronous data source has no notion of irrecoverable errors. Meanwhile, the Read API<sup>[2](#page-0-1)</sup> for receiving many items from a data source with a single function call has a notion of irrecoverable errors. Items are hardcoded to individual bytes, however, and errors are hardcoded to a general-purpose I/O error type. Thus, it is neither possible to turn any Stream into a Reader, nor the other way around.

Conceptually, these two APIs deal with the same issue: lazily producing a sequence. Rust has language-level mechanisms for expressing specialization of APIs and subtyping relations between APIs. Yet each of these abstractions is defined in isolation, with fundamentally different choices of expressivity that make it impossible to fluidly convert between them.

Additional issues arise when considering the opposite of receiving data: sending data off to be processed somehow. The asynchronous, single-item  $\sinh^3$  $\sinh^3$  is the conceptual analogon of the Stream API, yet it also supports irrecoverable errors. On the other hand, while the Stream API has a syn-chronous counterpart in the Iterator API<sup>[4](#page-0-3)</sup>, there is no such counterpart for Sinks.

Such a lack of consistency causes unnecessary education efforts, forces programmers to adopt inefficient code (raw bytes are not the only items for which bulk processing is more efficient than individual processing), and introduces

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<span id="page-0-0"></span><sup>1</sup><https://docs.rs/futures/0.3.30/futures/stream/trait.Stream.html>

<span id="page-0-1"></span> $^2$ <https://doc.rust-lang.org/std/io/trait.Read.html>

<span id="page-0-3"></span><span id="page-0-2"></span><sup>3</sup><https://docs.rs/futures/0.3.30/futures/sink/trait.Sink.html> <sup>4</sup><https://doc.rust-lang.org/std/iter/trait.Iterator.html>

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111 112 frustratingly arbitrary barriers to expressing a conceptual architecture of data flows and error handling as actual code.

113 114 115 Hence, the abstractions we propose emphasize consistency, and they build on top of each other. While that should sound boring and obvious, it apparently is not.

116 117 118 119 120 121 122 123 To keep the scope manageable, we restrict our focus to the two simplemost ways of interacting with a (possibly infinite) sequence: *consuming* a sequence item by item, or *producing* a sequence item by item. Both modes of interaction are of great practical interest, they correspond, for example, to reading and writing bytes over a TCP connection. We do not consider more complex settings such as random access in our main treatment.

We assume a strictly evaluated language. This makes explicit the design elements that enable laziness.

### 1.1 Evaluating Sequence APIs

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129 130 131 132 133 134 Equipped with a vague notion of wanting to "lazily consume or produce sequences", how can we do better than simply trying to find a design that satisfies all use-cases we can come up with? In mathematics, one would define a set of criteria that a solution should satisfy, in a way that makes no assumptions about any possible solutions themselves.

135 136 137 138 139 140 141 142 143 144 For example, a mathematician might want to work with numbers "with no gaps in-between" (i.e., the real numbers). They might formalize this intuitive notion as a minimal, infinite, complete ordered field. Any candidate construction (say, the Dedekind cuts of rational numbers), can now be objectively measured against the requirements. As an added bonus, it turns out that all constructions satisfying the abstract requirements are isomorphic. Some constructions might be more convenient than others in certain settings, but ultimately, they are all interchangeable.

145 146 147 148 This approach of construction-independent axiomization is the only way we can reasonably expect to bring clarity to the proliferation of competing API designs for lazy sequences.

149 150 151 152 153 154 Sadly, we could not find an airtight mathematical formalism to capture our problem space. The criteria we now present leave gaps that must be filled by argumentation rather than proof, the API design still remains part art as much as science. We nevertheless think that both our approach and our results are novel — and useful.

155 156 The criteria by which we shall evaluate lazy sequence abstractions are minimality, symmetry, and expressivity.

Minimality asks that no aspect of the API design can be expressed through other aspects of the design. Removing any feature impacts what can be expressed.

160 161 162 163 164 165 Symmetry asks that reading and writing data should be dual. The two intuitive notions of producing and consuming a sequence item by item are fully symmetric and sit on the same level of abstraction. Any API design that introduces an imbalance between the two is either contaminated with incidental complexity, or it lacks functionality for one of the two access modes.

Expressivity asks that the API design is powerful enough to get the job done, but also no more powerful than necessary. This is by far the most vague of our criteria, because we cannot simply equate more expressivity with a better design. We can, however, draw on the theory of formal languages to categorize the classes of sequences whose consumption of production can be described by an API. Some of these classes are more natural candidates than others.

Of these criteria, minimality is arguably the least controversial. Symmetry turns out to be the one we generally find the most neglected in the wild. Expressivity might have the weakest definition, but turns out to be rather unproblematic: real-world constraints on the APIs lead to a level of expressivity that also has a convincing formal counterpart — the  $\omega$ -regular languages (see section [3.3](#page-4-0) for details) — making us quite confident about the appropriate level of expressivity.

To obtain a good indicator for an appropriate level of expressivity, we examine the world of non-lazy sequences, i.e., sequences that can be fully stored in memory.

### <span id="page-1-0"></span>1.2 Case Study: Strict Sequences

Representing sequences in memory can be done in such a natural way that we have never seen any explicit discussion. We shall assume a typical type system with product types (denoted  $(S, T)$ ), sum types (denoted  $S+T$ ), and homogeneous array types (denoted  $[T]$ ).

Let  $T$  be a type, then  $T$  is also the type of a sequence of exactly one item of type  $T$ . Now, let  $S$  and  $T$  be types of sequences. Then  $(S, T)$  denotes the concatenations of sequences of type *S* and sequences of type *T*,  $S + T$  denotes the sequences either of type S or T, and  $[T]$  denotes the concatenations of arbitrarily (but finitely) many sequences of type  $T$ . None of this is particularly surprising, we basically just stated that algebraic data types and array types allow you to lay out data sequentially in memory.

Slightly more interesting is the blatant isomorphism to regular expressions. Each of the "sequence combinators" corresponds to an operator to construct regular expressions; the empty type and the unit type correspond to the neutral elements of the choice and concatenation operator respectively.

This is useful for making our expressivity requirement for lazy sequence APIs more precise: if the natural representation of strict sequences admits exactly the regular languages, then the regular languages are also the natural candidate level of expressivity for lazy APIs.

Unlike strict sequences that have to fit into finite memory, lazy sequences can be of infinite length. The natural generalization of the regular languages to infinite strings are the  $\omega$ -regular languages. Hence, this is the level of expressivity we want to see in lazy APIs.

The strict case also neatly validates the design goals of minimality and symmetry. Removing any combinator leads

221 222 223 to a strictly less expressive class of languages, and every operator comes both with a way of building up values and with a way of accessing values.

224 225 226 227 228 229 230 231 232 233 By generalizing the strict case to the lazy case, we can make our requirement of expressivity more precise, leading us to our final set of requirements: We want APIs for lazily producing or consuming sequences one item at a time, such that there is a one-to-one mapping between API instances and  $\omega$ -regular languages, no aspect of the APIs can be removed without loosing this one-to-one mapping, and there is full symmetry between consumption and production of sequences. Still not entirely formal, but close enough to meaningfully evaluate and design APIs.

#### 235 2 Describing Abstractions

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236 237 238 239 240 241 242 243 244 245 246 247 248 We now start by introducing a notation for API designs. In the following, we use uppercase letters as type variables.  $(S, T)$  denotes the product type of types S and T (intuitively, the cartesian product of  $S$  and  $T$ ), and () denotes the unit type (intuitively, the type with only a single value).  $S/T$  denotes the sum type of  $S$  and  $T$  (intuitively, the disjoint union of  $S$ and  $T$ ), and ! denotes the empty type (the type that admits no values). Finally, we write  $S \to T$  for the type of (pure) functions with an argument of type  $S$  and a return value of type  $T$ . Note we take a purely functional approach here: a function does not mutate its argument, it simply produces a new value.

We specify an API as a list of named types (typically functions). Each API can quantify type variables that can be used in its function declarations<sup>[5](#page-2-0)</sup>. As an example, consider the following API:

```
API I terator P, I >
    next: P \rightarrow (I, P) | ()
```
This pseudo-type fragment states that in order to obtain a concrete Iterator, one needs two types: a type  $P$  (Producer) and a type  $I$  (Item). These types have to be related through existence of a function next, which maps a producer to either an item and and a new producer, or to a value that signifies that no further items can be produced.

To consume this iterator, one would repeatedly call next on the producer returned from the prior call of next, until a call returns ().

A concrete example of such an iterator are the homogenous arrays of Is as producers of items of type Is; next returns () for the empty array, otherwise it returns the first item in the array and the array obtained by removing the first item.

This API is completely stateless, we never mutate any  $P$ . In an imperative programming language, one would typically use a function that takes a reference to a  $P$  and returns either

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an  $I$  or (), and then make all implementors pinky-swear to not invoke the function with a  $P$  that has returned () previously.

We prefer the purely functional notation, because it can express the pinky-swearing API contract on the type level. But all our designs can easily be translated into an imperative, stateful setting. The other way around, by converting stateful references into input values and output values, we can represent APIs from imperative languages in our notation. For example, this Iterator API captures the semantics of commonly used iterator APIs such as those of Python<sup>[6](#page-2-1)</sup> or Rust<sup>[7](#page-2-2)</sup>. It handily abstracts over the fact that Rust has actual sum types, whereas Python signals the end of iteration with an exception.

Lazy sequence abstractions often come up in the context of asynchronous programming. Programming languages typically have an idiomatic approach to asynchronous functions; most modern languages have them return a Future<T> or Promise<T>, that is, a value that represents that some value of type  $T$  will become available in the future. Other approaches include passing the code to process the result of the asynchronous function as a continuation (often called a callback), or concurrency via lightweight process abstractions.

We posit that there is little reason for the sequence abstractions to differ between synchronous and asynchronous settings. In most modern languages, the change to convert a synchronous function signature to an asynchronous one is purely mechanical. Hence, we will implicitly abstract over asynchrony and not mention it in our API designs.

For completeness sake, we should mention that there also are techniques for explicitly abstracting over asynchrony and other effects via monadic effect management [\[Wad95\]](#page-12-2). To the readers already familiar with this technique, adjusting our designs is not difficult. To everyone else — i.e., to the vast majority of practicioners we would like to reach — obscuring our presentation behind higher-kinded type constructors poses an unnecessary barrier to access. Thus we keep the act of abstraction implicit. We point the interested reader to a fairly recent example of a monad for asynchrony [\[ZBL20\]](#page-12-3), as well as to the alternate formalism of asynchronous algebraic effects [\[Lei17\]](#page-12-4)[\[AP21\]](#page-12-5).

Another kind of effect that will come up later is that of errors. Similar to how an asynchronous function is like a regular function but might take its time, a fallible function is like a regular function but might abort with an error. And similar to how modern languages have their idioms for asynchrony (often, async-await syntax), they also have idioms for fallible computations (often, try-catch syntax). Unlike asynchrony, error handling has some interesting implications for

<span id="page-2-0"></span><sup>5</sup>More formally, this is a notation for ad-hoc polymorphism [\[WB89\]](#page-12-1) like Haskell's type classes, Java's interfaces, or Rust's traits.

<span id="page-2-1"></span><sup>6</sup><https://wiki.python.org/moin/Iterator>

<span id="page-2-2"></span><sup>7</sup><https://doc.rust-lang.org/std/iter/trait.Iterator.html>

331 332 communication flows in the API designs, so we return to the topic proper in section [3.2.](#page-4-1)

#### 334 3 A Principled Design

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335 336 337 338 339 340 341 342 We now derive APIs for producing and consuming sequences one item at a time, guided by minimality, symmetry, and expressivity (section [3.1\)](#page-3-0). The issue of error handling deserves its own discussion (section [3.2\)](#page-4-1). Finally, we argue that the designs are indeed sufficiently symmetric and of appropriate expressiveness (section [3.3\)](#page-4-0) — while our arguments are not fully formal, they are at least formalizable.

### <span id="page-3-0"></span>3.1 Deriving Our Design

To derive a principled design step by step, we start with simplemost producer API: a producer that emits an infinite stream of items of the same type.

```
API InfiniteProducer <P, I >
     produce: P \rightarrow (I, P)
```
An iterator, in contrast, expresses a finite stream of items, by making the result of a sum type with a unit type option to signal termination. This is not to say that you could not implement infinite iterators, but the typing for those is unprecise — a statically typed language forces programmers to provide code for the end-of-iteration case, even though they might now it will newer occur.

We can easily abstract over both finite and infinite producers through a simple realization: we can rewrite the produce function of the InfiniteProducer as a sum with the empty type, without changing the semantics at all (it is impossible to provide an instance of the empty type, so the function must always return another item when called):

```
API Also AnInfinite Producer <P, I >
    produce: P \rightarrow (I, P) | !
```
Now, the infinite producer and the finite iterator have the exact same form, and we can introduce a type parameter for the summand to express either:

```
API Producer <P, I, F>
    produce: P \rightarrow (I, P) | F
```
Setting the type parameter F (for final item) to ! or () yields the infinite streams and the finite streams over a single type of items, respectively.

376 377 378 379 380 Another natural choice for F are irrecoverable error types; most APIs with this design designate the type parameter as a type of errors explicitly. This denotation obscures how the same abstraction can also represent iterators or infinite streams, however.

381 382 383 384 385 Moreover, it obscures that F might be another producer itself, with which to continue production. Through this use of the API, we can effectively concatenate any producer after any finite producer. This usage is the cornerstone of achieving the expressivity of the  $\omega$ -regular languages, and one we have not encountered in the wild at all.

To give a tangible example of how this degree of expressivity can be useful, consider a networking protocol that proceeds in stages: first a handshake for connection establishment, followed by an exchange of key-value pairs that signify the capabilities of an endpoint, followed by the applicationlevel message exchange. With an API parameterized over arbitrary final values, you can implement each stage in a typesafe way, and then concatenate the stages both in execution and on the type-level. Traditional APIs force programmers to either lump the different kinds of messages (handshake, key-value pairs, application-level) into a single sum type, or to forego helpful typing altogether and operate on the level of bytes.

A symmetric consumer API should be one that can be given either an item of type  $I$  – returning a new consumer value to continue the process  $-$  or a final item of some type  $F$  – without returning a consumer to continue with.

Ideally, we should be able to mechanically derive this API as a dual of the producer API. A tempting option is to "flip all arrows" and simply swap argument and return type of the produce function:

API Recudorp <P, I, F> ecudorp:  $((I, P) | F)$  -> P

We can clean this up by splitting the function of a sum type argument into two independent functions (the resulting types are isomorphic), and giving more conventional names:



Unfortunately, this does not give the kind of API we were hoping for. The consume function is appropriate, but the second function is not closing a consumer, but creates a consumer. A straightforward dual construction gives too strong of a reversal to yield an API suitable for practical use.

Hence, Instead of a fully dual construction, we instead derive a consumer API in steps analogous to those for deriving the Producer API. We start again with the consumers of infinite sequences and the consumers of finite sequences:



We can again introduce a type parameter for the final sequence item to unify the APIs; observe how using ! or () for the parameter F in the following API yields results isomorphic to the InfiniteConsumer and FiniteConsumer APIs respectively:

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445 446 447 448 449 This API is fully symmetric to the Producer: the consumer can consume exactly those sequences that a producer can produce, by feeding the final item into close. It is rather unusual in that we have never seen an API whose close function takes an argument in the wild.

450 451 452 453 Another unusual aspect is the inability of a consumer to report errors to its calling code. This is severe enough of a departure from typical APIs to warrant a dedicated discussion.

### <span id="page-4-1"></span>3.2 Communication Flow

456 457 458 459 460 461 462 463 464 The inability to emit errors appears to make our proposed Consumer API unsuitable for network programming, for example. The underlying characteristics of the design are more general than just error reporting: code interacting with a consumer can pass information to the consumer but cannot obtain any information from the consumer. Observe that conversely, code interacting with a producer can obtain information from the producer but cannot pass any information to the producer.

465 466 467 468 469 470 This rigorous a restriction on communication flows evokes design choices such as the unidirectional communication primitives of security-focussed micro-kernels like seL4 [\[MMB](#page-12-6)+ 13], so there clearly is a place for such constrained APIs. But the consumer API does not seem appropriate as a generalpurpose API.

471 472 473 474 475 476 477 478 479 Trying to add the missing communication flows raises some interesting questions. Should consumer return the next consumer and another piece of information, or the next consumer or another piece of information? What about close — should it be able to return extra information, or not? How should symmetry be preserved — does the Producer API require a stop function that lets the surrounding code communicate that (and why) produce will no longer be called? Should produce itself take a piece of information as input?

480 481 482 483 484 Our fairly principled approach of aiming for minimal, symmetric, regular-languagy APIs provides no guidance here, as these communication flows exist outside our semi-formalized problem domain. Any choices we need to make are essentially arbitrary.

485 486 487 488 489 490 491 492 493 494 We see two ways out of this problem. The simplemost solution is acceptance. When a programmer wishes to write data to a network through a consumer interface, they need a corresponding producer to emit any feedback such as connection failures. Considering that typical networking APIs use the same error type for reading and writing data, this doesn't seem too far-fetched. Then again, the difficulties in migrating from more typical APIs to this style of error handling are hard to estimate. An argumentative essay like this one cannot conclusively establish a result, we merely want to

raise that accepting a consumer API without error reporting might be more feasible than it appears at first glance.

The other solution is to consider fallibility as an *effect*. Just like the functions we use in our APIs might be asynchronous, they might also be fallible. Different programming languages could represent this in different ways: some could use exceptions, others could consistently use a Result type (a sum type of either the actual value of interest or an error value) — the latter is a simple and classic example of monadic effect handling. We can keep using the same notation as before, but consider every function as possibly fallible.

Nevertheless, it is instructive to look at the APIs that result from adding explicit error return options (of some type  $E$ ) to all functions:



The APIs look quite asymmetric suddenly, because the FallibleProducer does not mirror the communication flow of the consumer, as that would require functions that take  $Es$ as arguments. Further, the return type of produce appears to violate minimality, as  $E$  and  $F$  could be combined into a single type parameter in principle. This demonstrates that the perspective of errors as effects is crucial to meaningfully evaluating sequence APIs — both ours, and those in the wild.

We will continue our discussion with the raw Producer and Consumer APIs, and leave it to the reader to decide whether their functions should be fallible (and/or asynchronous, for that matter), or not.

### <span id="page-4-0"></span>3.3 Evaluating Our Design

Are our Producer and Consumer APIs minimal, symmetric, and expressive on the level of  $(\omega)$  regular languages?



Symmetry is not immediately apparent; there is no obvious sense in which the two APIs are dual. We derived the APIs in analogous steps, but that is not an inherent property. And they even have a different number of functions!

Still, we can make a solid argument based on the observation that the APIs compose in a satisfying way.

Composing a producer with a consumer amounts to piping the data that the producer produces into the consumer:

551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 **Require:**  $P, C, I, F$  are types such that Producer $\leq P$ , I, F and Consumer<C, I, F> procedure  $\text{PIE}(p : P, c : C)$ : () loop  $x \leftarrow \text{PRODuce}(p)$ if  $x$  is of type  $F$  then  $\text{CLOSE}(x, c)$ return () else  $c \leftarrow \text{convime}(x.0)$  $p \leftarrow x.1$ end if end loop end procedure The pipe function returns the unit type. On a purely ab-

567 568 569 570 stract level, composing to the unit type evokes the concept of an element and its inverse composing to an identity element. This seems as strong a formal notion of symmetry (without actually formalizing things) we can hope for, aside from immediate duality.

571 572 573 574 575 576 The close function taking an argument nicely mirrors the produce function emitting a final argument. In particular, if  $F$ is another producer, then the consumer can pipe it in its close implementation into an inner consumer. The overall return type is still  $()$  – the unassuming *pipe* function can handle multi-stage processing pipelines wihout any modification.

577 578 579 580 581 582 583 584 585 586 We can make a similar compositional argument for composing the other way around: it should be possible to create a pair of a consumer and a producer such that the producer produces everything that the consumer consumes (in the same order, i.e., as an in-memory queue). Such a queue is, in some vague sense, the neutral element of transformation steps in a pipeline (we return to this concept in section [4.1\)](#page-6-0). Here, we see another benefit of the close function taking an argument: we can map this argument directly to the final value to be emitted by produce.

587 588 589 590 591 592 593 594 Having argued that our design is indeed symmetric in a meaningful way, we turn to the question of expressivity. Our core argument rests on the observation that each Producer (or Consumer) defines a formal language over an alphabet of atomic types. More precisely, a Producer<P, I, F> emits an arbitrary number of repetitions of values of type  $I$ , followed by a single value of type  $F$ . In more traditional notation of a language as a set of strings, it denotes the set  $\{I\}^* \circ \{F\}$ .

Given this mapping from sequence APIs to languages, which class of languages do our APIs describe? We claim they — in concert with sums, products, and functions — describe the union of the regular and the  $\omega$ -regular languages.

The  $\omega$ -regular languages over  $\Sigma$  are the sets of infinite strings over  $\Sigma$  that are either a concatenation of infinitely many words from the same regular language<sup>[8](#page-5-0)</sup> over  $\Sigma$  (*infinite* iteration), or the concatenation of a regular language and an  $\omega$ -regular language over  $\Sigma$ , or a choice between finitely many  $\omega$ -regular languages over Σ.

As already argued in section [1.2,](#page-1-0) sum types and product tyes correspond to choice and concatenation of regular expressions respectively. Unlike the strict case, we cannot rely on homogeneous arrays to act as the counterpart to the Kleene operator, but this is where the Producer API comes in (everything applies analogously for the Consumer API): a Producer<P, I, F> can produce an arbitrary number of repetitions of Is, followed by a single F. In particular, a Producer<P, I, ()> corresponds to the Kleene operator, and a Producer<P, I, !> corresponds to infinite iteration.

Unfortunately, this simple perspective is not fully accurate. Product types as concatenation are too powerful for us: consider a product  $(P_1, P_2)$ , where  $P_1$  is a Producer<P, I, !>. The corresponding language would be a concatenation with an  $\omega$ -language on the left, but this is explicitly ruled out by the definition of  $\omega$ -regular languages. Another facet of the same problem is that the type  $(S, T)$  is not one that describes first emitting an  $S$  and then a  $T$ , as it presents both simultaneously.

To solve this, we can restrict the set of well-formed sequence types we consider to pairs  $(S, () \rightarrow T)$  for (sums of) non-repeated types  $S$  and arbitrary types  $T$ , and Producer $\leq$ P, S,  $\overline{P}$  for repeated types *S*. This removes the ability to express concatenations with an  $\omega$ -language as the left operand, and introduces the required indirection to express "first  $S$ , then  $T^*$  (remember that we assume our functions to abstract over effects, so there might well be asynchronicity involved in obtaining the  $T$  after processing the  $S$ ).

We shall not dwell on this subtlety in greater detail, because it does not affect our two main points: our API is expressive enough to decribe regular  $(\omega)$  regular languages, whereas a more traditional API without a dedicated type for the final item is not expressive enough, resulting in an unjustified reduction in expressive power compared to representing strict sequences in memory. In particular, traditional APIs cannot express concatenation of two sequences with different item types.

Finally, our designs are indeed minimal: removing any feature reduces expressivity, because all features are necessary to obtain the correspondence to the  $(\omega)$  regular languages.

# 4 Working With Producers and Consumers

Having settled on designs for Producer and Consumer APIs, we now turn to how they can or should should be used in practice. We note a powerful pattern of composability in

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<span id="page-5-0"></span> $8$ We assume familiarity with regular languages, for an introduction see [\[HU69\]](#page-12-7), for example. Or do the sensible thing of searching for "regular language" on Wikipedia.

661 662 section [4.1,](#page-6-0) muse about language-level support in section [4.2,](#page-6-1) before turning to matters of efficiency in section [4.3.](#page-8-0)

#### <span id="page-6-0"></span>664 4.1 Conducers

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665 666 667 668 669 670 671 672 673 674 675 In section [3.3,](#page-4-0) we briefly considered an in-memory queue: a pair of a consumer and a producer such that the producer emits exactly the item consumed by the consumer. We can consider such a pair as a single value that implements both the Consumer and the Producer API; we shall call such a value a naïve conducer (portmanteau of consumer and producer). The naïveté will become apparent once we go from intuitive notions of composability to actual implementation; for now we ask the reader to suspend some disbelieve and let the concept guide us toward the more useful actual conducers.

676 677 678 679 680 681 682 683 684 685 Naïve conducers make an appealing foundation for constructing and composing producers and consumers. You can use a single naïve conducer definition to both obtain a new producer from a producer or a new consumer from a consumer. Consider the naïve queue conducer: composing a producer with the naïve conducer yields a new producer that buffers some number of items before emitting them. Composing the naïve conducer with a consumer yields a new consumer that buffers some number of items before consuming them in the inner consumer.

686 687 688 689 690 691 692 This dual-purpose usage constitutes a tangible advantage of being hellbent on symmetry. As a second example, consider a naïve conducer constructed from some function of type  $S \to T$  that is a consumer for items of type S and a producer for items of type  $T$ . This naïve *map* conducer can both adapt the items emitted by a producer, or adapt the items accepted by a consumer.

693 694 695 696 697 698 699 700 Naïve conducers need not preserve a one-to-one mapping between consumed items and produced items. The common tasks of encoding and decoding values for transport can be captured elegantly by naïve conducers: a decoder consumes items of some type  $S$  (often,  $S$  would be the type of bytes) and occasionally produces an item of some type  $T$ , an encoder consumes items of some type  $T$  and produces many items of some type S.

701 702 703 704 705 706 707 708 709 710 Unfortunately, none of this actually works. In order to, for example, compose a naïve conducer in front of a consumer, the consume function of the resulting consumer would have to first call the consume function of the naïve conducer. Then, it would need to correctly guess how many times to call the naïve conducer's produce function, in order to feed the results to the inner consumer. A general-purpose composition routine can neither know how many items the inner consumer expects, nor how many items the naïve conducer can produce at any point in time.

711 712 713 714 One obvious solution is to explicitly manage metadata about which functions can and should be called at runtime, but this creates computational overhead. Another simple solution is to restrict naïve conducer to producing exactly

one item per item they consume, but this severely restricts expressivity — in particular, it prohibits encoders and decoders.

Toward a zero-overhead, expressive solution, we temporarily abandon the dual-usage intuition behind naïve conducers, and examine consumers and producers separately. We define a consumer adapter as a function that maps an arbitrary consumer to another consumer, and a producer adapter as a function that maps an arbitrary producer to another producer.

These adapters can implement the same functionality as naïve conducers, but in a way that actually works. Consider, for example, a consumer adapter for encoding items of type S to many items of type T. The consumer adapter can produce a consumer that consumes an item of type  $S$ , computes the encoding, and calls the consume function of the inner consumer once for each  $T$  of the encoding. The corresponding producer adapter, when asked to produce a value of type  $T$ , asks the wrapped producer for value of type S, and computes the encoding. It then returns the first  $T$  of the encoding and buffers the remaining encoding, to be admitted on subsequent calls to *produce*. Only when the buffer has become empty does it request another item from the wrapped producer.

There is a large amount of overlap and symmetry between the encoding consumer adapter and the encoding producer adapter, note how both use the same procedure for the actual encoding, and both need to buffer the result in between subsequent calls to the wrapped consumer or producer respectively. We call a pair of consumer and producer adapters that implements a naïve conducer an (actual) conducer.

While such conducers are an interesting tool to reason about working with lazy sequences, they do not provide an immediate software engineering benefit: the two adapters need to be implemented independently. In the spirit of full symmetry, we now have to duplicate all implementation efforts.

To improve on this, we next take a look at how programming language syntax (or macros) can make it possible to write a single definition that then yields both adapters of a conducer. To do so, we first need to investigate dedicated syntax for producers and consumers separately.

#### <span id="page-6-1"></span>4.2 Syntax Considerations

Many programming languages offer generator syntax for creating iterators, and for loops for consuming iterators. A language designed with our APIs in mind could provide more powerful syntax.

Generators<sup>[9](#page-6-2)</sup> provide dedicated syntax for creating producers, with yield emitting repeated items and return emitting the final value. As an example, the following pseudo-code emits the numbers from zero to nine and then the final string

<span id="page-6-2"></span><sup>9</sup><https://peps.python.org/pep-0255/>

771 772 773 774 775 "hi". We use atypical choices of keywords (producer instead of generator, produce instead of yield, and produce final instead of return) to be obnoxiously explicit about the intended semantics, and to prepare for a symmtric consumer design:

```
p r o d u c e r
    i = 0while i < 10produce i
    produce final "hi"
```
We are not aware of any language that provides a symmetric construction for creating consumers. Dreaming up an initial symmetric design seems straightfoward enough:

consumer

```
x = consume
   y = consume
until consume final z
    doSomething (x + y + z)
```
This design does leave open some questions: what if the consume function of the created consumer is called more often then there are consume keywords in the main consumer body? And should it always be valid to jump to the until consume final block, or only at the end of the main consumer body?

Since the basic consumer design allows no communication to the calling code, a simple solution to the problem of too many consume calls is to implicitly wrap the main consumer body in a loop. In a setting with fallible consumers, a consumer that wants to limit the number of possible calls to consume can simply add an extra consume expression and throw from there:

```
consumer
```
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```
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           x = \text{consum}y = consume
           = consume
           throw " too \lrcorner much \lrcorner information"
      until consume final z
           doSomething (x + y + z)
```
To allow for control about what to do when close is called depending on the current state of the consumer, the naïve until consume final can be replaced with a mechanism that mimics try-catch blocks:

```
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      consumer
           c on sumebl oc k
                 x = consume
            u n t i lthrow " too \Box little \Box information"
            c on sumebl oc k
                 y = consume
```


Our syntax is deliberately painful: we do not claim that these are the best design choices, we merely want to demonstrate that providing a meaningful and useful consumer syntax is indeed possible. And after extrapolating the logic that leads to our API designs, designing generators into languages without a corresponding consumer equivalent feels questionable.

A particular usecase we want to highlight for explicit (asynchronous) consumer syntax is that of implementing asynchronous parsers. Typically this involves writing a statemachine or otherwise putting a lot of manual work into ensuring a parser that can suspend its execution when reaching the temporary end of input and then resume once more input becomes available. The consumer syntax allows writing asynchronous parsers that look just like synchronous ones.

Assuming the questions around dedicated consumer syntax have been solved, the next logical step is to combine the consumer and producer keywords into a more powerful conducer language construct. As an example, we sketch an encoder conducer for converting 16-bit integers into sequences (pairs) of 8-bit integers:



From such a construct, both a consumer adapter and a producer adapter can be generated. For the consumer adapter, the consume expressions provide the entry points to the state machine of the consume function, and each produce expression translates to a consume call of the wrapped consumer. For the producer adapter, the produce expressions provide the entry points to the state machine of the *produce* function, and each consume expression translates to a produce call of the wrapped producer.

Finally, we want to draw a parallel to coroutines[\[MI09\]](#page-12-8), as implemented, for example, in Lua[\[Ier06\]](#page-12-9). In (that particular brand of) coroutines, the yield expression in the coroutine implementation not only yields a value to the outside world, but it also evaluates to a value that is given as part of the expression that resumes the coroutine. We can see our conducer syntax as a generalization of this pattern. Coroutines tie incoming and outgoing communication to the same points in

881 882 883 884 885 886 the coroutine, marked by yield, whereas our design decouples them via consume and produce. In fact, Lua's coroutine approach is equivalent to naïve conducers restricted to maintaining a one-to-one correspondence between consumption and production. Our syntax allows arbitrarily splitting the communication. Hence, conducers generalize coroutines.

### <span id="page-8-0"></span>4.3 Buffering and Bulk Processing

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889 890 891 892 We now turn to questions of efficiency. While consumers and producers make for nice building blocks of programs because they are conceptually simple to reason about, it is inefficient in practice to process items one by one.

893 894 895 896 897 898 One problem of processing items one at a time is that performing side effects is often expensive, for example, when system calls are involved. Writing a file byte by byte with individual system calls is orders of magnitude slower than buffering bytes sequentially in memory and writing many bytes with a single system call.

899 900 901 902 903 904 An easy solution is to allow consumers to buffer items internally, leaving them the freedom to arbitrarily delay actual processing indefinitely to optimize for efficiency. When writing to a consumer in order to perform side-effects, the programmer needs a way to force the consumer to stop delaying, flush its buffer, and actually trigger the effects:

API BufferedConsumer < $C$ , I, F > consume:  $(I, C)$  -> C  $close: (F, C) \rightarrow ()$  $flush: C \rightarrow C$ 

910 911 912 913 914 The buffered consumer with a flush function is a staple of real-world APIs. The analogous functionality for producers, however, is one we have never encountered. The opposite of flushing as much data as possible out of a buffer is slurping as much data as possible into a buffer.

API Buffered Producer <P, I, F>  $produce: P \rightarrow (I, P) | F$  $slurp: P \rightarrow P$ 

919 920 921 922 923 924 925 926 927 928 929 930 Unlike flushing a consumer, slurping a producer does not serve to immediately trigger effectful production of items. Still, there are arguments in favor of a slurp function on producers that go beyond the consistency gains of maintaining symmetry (although that alone would already suffice in our opinion). Consider a producer that emits items from some effectful source which might stop working at any moment (e.g., a network connection). Slurping allows the programmer to pre-fetch data even though processing the available data might be time-consuming and not yet finished, thus reducing the probability that a later connection failure leads to data loss.

931 932 933 934 935 System calls are not the only reason for processing data in bulk. Simply copying consecutive bytes in memory from one location to another is significantly more efficient than copying each byte individually. Hence, many programming languages offer APIs for producing or consuming many items at a time by way of slices (a pointer paired with the number of items stored consecutively in memory starting at the pointedto address).

A typical example of such readers (producers of many bytes simultaneously) and writers (consumers of many bytes simultaneously) are the Reader<sup>[10](#page-8-1)</sup> and Writer<sup>[11](#page-8-2)</sup> abstractions of the Go language. To translate them into pseudo-types, we write &r[T] for a slice of values of type T that may be read but not written, and &w[T] for a slice of values of type T that may be written but not read. The Go APIs then translate to the following:

$$
API\ Reader < R, I, E> \qquad \qquad \text{read}: \ (R, \ & \text{kw}[I]) \ \text{--}\n \tag{R, Nat} \ | E
$$

$$
\begin{array}{lll}\n\text{API Writer} < W, & I, & E > \\
& \text{write}: & (W, & \& r [I]) > (W, & Nat) \mid E\n\end{array}
$$

The read function writes (produces) some number of items into a slice, and returns how many items were written. The write function reads (consumes) some number of items from a slice, and returns how many items were read. A return value of zero typically indicates the end of the sequence<sup>[12](#page-8-3)</sup>. We can easily generalize to arbitrary final values of some type  $F$  by requiring the returned number to be non-zero and extending the return sum type by a third<sup>[13](#page-8-4)</sup> option of type  $F$ .

Setting aside the interesting naming choices and the fact that most langages unnecessarily specialize the item type to that of 8-bit integers, these APIs display a perfect symmetry that APIs for operating on individual items usually lack.

It is tempting to think of readers and writers as generalizations of producers and consumers respectively, but that viewpoint brings a problematic amount of freedom — which parts should be generalized, and which parts should stay the same? Consider, for example, our restrictions to exclusively reading or writing from slices. This is more restrictive than allowing arbitrary access to the slices, and, given the defaults of programming languages (no mainstream languages support write-only pointers), the default choice of many is unrestricted access to the slices. The Rust community has had to put a lot of energy into dealing with the consequences of such an oversight in its standard library<sup>[14](#page-8-5)</sup>.

Instead, we propose to think about readers and writers as optimization details: any read must be equivalent to a

<span id="page-8-1"></span><sup>10</sup><https://pkg.go.dev/io#Reader>

<span id="page-8-2"></span><sup>11</sup><https://pkg.go.dev/io#Writer>

<span id="page-8-3"></span><sup>&</sup>lt;sup>12</sup>In a synchronous setting, if no data is currently available but there might be more data in the future, the functions should block instead of returning zero. In an asynchronous setting, the functions should be parked to be resumed at a later point.

<span id="page-8-4"></span> $13$ Or a second option, if we consider the error case as an effect.

<span id="page-8-5"></span> $^{14}\rm{Rust}$  allows for uninitialized memory, but *reading* from unitialized memory is unsafe. See [https://github.com/rust-lang/rfcs/blob/master/text/2930-read](https://github.com/rust-lang/rfcs/blob/master/text/2930-read-buf.md)[buf.md](https://github.com/rust-lang/rfcs/blob/master/text/2930-read-buf.md) and <https://blog.yoshuawuyts.com/uninit-read-write/> for details on how this affects its reader API.

991 992 993 994 995 996 997 998 999 series of zero or more calls to *produce*, and any *write* must be equivalent to a series of zero or more calls to consume. This viewpoint precisely defines the semantics of the reader and writer APIs, and cleanly specifies answers to questions that might otherwise be non-obvious: may read access the contents of the slice? No. What should read or write do when given an empty slice? Nothing. Is every (buffered) reader or writer a (buffered) producer or consumer respectively? Absolutely.

1000 1001 1002 This last question is crucial: readers are subtypes of producers, and writers are subtypes of consumers. If you take away only one point from this essay, this is the one.

1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 Readers and writers stem from file system abstractions, the duality of reading and writing to or from a file make their symmetry an obvious requirement. Streams and sinks trace back to iterators, which arose from traversal of (polymorphic) data structures, hence making the genericity of items an obvious requirement. If programming languages had routinely linked the two abstractions by a subtyping relation, we could have had fully symmetric, fully generic, unified APIs for decades. Instead, these abstractions have remained incomplete, and, consequently, interoperate badly.

1013 1014 1015 1016 1017 1018 1019 One problem with the reader and writer APIs is that they do not compose very nicely: in order to move data from a reader to a writer, you need to specifically allocate an array into which to first copy the data via read, and from which to then copy the data via write. An alternate API choice without this problem is to expose slices of internal buffers instead of processing slices of external buffers:

```
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     API Bulk Producer <P, I, F>
     extends BufferedProducer <P, I, F>
          producer slots: (P) \rightarrow \&r[1] | F
          process\_produced: (P, Nat) \rightarrow PAPI BulkConsumer<C, I, F>
     extends BufferedConsumer<C, I, F>
          consumer_slots: (C) -> &w[I]
          process\_consumed: (P, Nat) \rightarrow P# To close, use the Buffered Consumer
     # close function
```
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1033 1034 1035 1036 1037 1038 1039 1040 1041 The *consumer* slots function provides a slice into an inner buffer of a BulkConsumer, into which the calling code can write. To trigger actual processing of the written items, the proces\_consumed function notifies the consumer how many items were written and tasks it to consume them. The semantics of calling *process* consumed with some argument *n* must be those of calling *consume n* times, with the items written to the slice returned by consumer\_slots. The BulkProducer API works analogously.

1042 1043 1044 1045 Whereas a writer API requires the data to be consumed to be in an array, the bulk consumer is required to organize its internal buffer as an array. In practice, things are most

efficient if both sides of the exchange store data consecutively in memory, so we don't expect this shift in responsibility to make a difference to anyone who uses bulk processing for efficiency reasons.

Our APIs are more low-level than the traditional reader and writer APIs: The traditional read and write functions we propose to call them bulk\_produce and bulk\_consume can easily be implemented as helper functions that take a slice and copy from or into (respectively) the slots exposed by the bulk API.

Given such bulk\_produce and bulk\_consume functions, there are now two semantically equivalent ways of piping a bulk producer into a bulk consumer: pipe\_bulk\_consume uses the *producer* slots of the producer as the slice argument to bulk\_consume on the consumer, and pipe\_bulk\_produce uses the *consumer* slots of the consumer as the slice argument to bulk\_produce on the producer. Neither of these requires allocation of an external buffer to facilitate the communication.

A final, interesting observation on this topic concerns memory safety. In a language with a concept of uninitialized memory that is acceptable to write to but not to read from, a bulk consumer is free to expose a (write-only) slice of uninitialized memory in its consumer\_slots function. Whenever process\_consumed is called thereafter, the consumer can assume that the memory for the indicated number of items has been initialized. If the calling code is faulty, this can lead to undefined behavior, making the process\_consumed function unsafe in the Rust sense of the word, i.e., it can trigger undefined behavior when its contract is not upheld. There is no such problem with the bulk producer API. Thankfully, the bulk consume helper function fully insulates from this source of errors.

# 5 Summary

This concludes our main arguments and designs. Figure [1](#page-10-0) lists our final APIs. Our main points of departure from current mainstream designs are the following:

- Full symmetry between producers and consumers.
- Equivalent APIs irrespective of effects such as asynchrony or fallability.
- A dedicated type for the last sequence item, drastically increasing expressivity.
- Slurping producers.
- Bulk processing for items other than raw bytes.
- Subtyping relation between bulk processors and regular processors.
- Zero-copy bulk APIs.
- Dedicated consumer syntax as a counterpart to generators.
- Conducer syntax to automatically derive adapters for both consumers and producers simultaneously.

<span id="page-10-0"></span>



Figure 1. Our API designs in a single place.

#### 1118 6 Onward!

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1119 1120 1121 We have proposed and argued for some simple designs, but there is still plenty of engineering and research left to be done.

1122 1123 1124 1125 1126 1127 1128 1129 What is up with conducers? Is the introduction of dedicated syntax really the best way of deriving consumer and producer adapters from a single specification? Is there a nicer API design that captures the same degree of composability without requiring this split? If dedicated syntax is the way to go, should there be dedicated syntax for bulk producers, bulk consumers, and bulk conducers? What would it look like? What about vectored I/O $15$ ?

1130 1131 1132 1133 1134 1135 1136 1137 1138 Concerning the dedicated syntax, we took a lot of shortcuts, not least of all the deliberately horrible syntax for consumers. On the more formal side, what should be the proper — say, denotational — semantics of a conducer syntactic element be? Given such formal semantics, what is a translation of the syntax into "normal" syntactic components of equivalent semantics? Which "normal" constructs are particularly helpful — coroutines, continuations? Can you elegantly avoid such fancy constructs altogether?

1139 1140 1141 1142 1143 1144 Is the fact that conducers generalize coroutines a coincidence, or do conducers deserve study as a control-flow mechanism in their own right? Coroutines are as expressive as one-shot continuations, but strictly less expressive than general continuations [\[MI09\]](#page-12-8). Where do conducers fall in this spectrum?

1145 1146 1147 1148 1149 1150 1151 1152 What is up with the symmetry between producers and consumers? Is there a general, formal setting for expressing APIs with a general, precise notion of duality, in which producers and consumers are dual in a formal sense? Did we simply not find it yet, or is this impossible? For infinite, homogeneous sequences, producers and consumers are actually dual. Why, and where  $\exp\left\{\omega\right\}$  do things go wrong when

adding dedicated final elements or effects such as irrecoverable errors?

How far can we take our unsatisfying substitutes for proper duality — symmetry and inverse-like composition? There is plenty of literature on proving iterators correct, see [\[BHMS22\]](#page-12-10) for a recent example. How much of such literature carries over to consumers, and how much has to be redeveloped from scratch? This question should serve as a powerful motivation for finding a framing in which producers and consumers are fully dual. Similar thoughts apply to opti-mization techniques [\[KBPS17\]](#page-12-11) or code synthesis<sup>[\[RML](#page-12-12)+</sup>12].

Session types [\[DCD10\]](#page-12-13)[\[HLV](#page-12-14)<sup>+</sup>16] aim to statically type communication patterns in a way that guarantees, for example, deadlock-freedom. Our explicit final item type allows us to also accurately type certain classes of communication patterns. How much overlap is there between our work and session types, can they benefit from each other?

Regarding more direct concerns of software engineering, which adaptors or combinators should make up the standard toolbox for composing sequence APIs? Which algebraic laws must they fulfil? What is a good technique for implementing combinators only once and then automatically deriving bulk versions? Conducers provide a good framing for unary combinators, but what about other combinators (say, a binary concatenation combinator)?

Producers and consumers strictly limit where they interact with a sequence. Aside from optimization details such as functions for providing estimates of the minimum and maximum number of items that can still be processed, the most obvious extension of our APIs is that of random-access. Readers and writers originate from the Unix notion of files, and seeking in a file is a core concern of this perspective. What do good APIs for seeking look like? Support for infinity sequences mandates relative offsets rather than absolute indexing. Does this mean that all such generalizations amount to Turing-machine APIs with a movable read/write head? Should writing do overwrites exclusively, or is there

<span id="page-10-1"></span><sup>1154</sup> <sup>15</sup>[https://en.wikipedia.org/wiki/Vectored\\_I/O](https://en.wikipedia.org/wiki/Vectored_I/O)

Yet, the design differs significantly from ours, the inherent

1211 1212 1213 1214 1215 1216 design space for elastic bands that support insertion of new items in-between older items (as well as proper deletion)? Can and should these two modes be captured in the same API, or do they require separate abstractions? What does a lattice of (sub-) APIs look like that provides a more nuanced yet practically useful version of "everything is a file"?

1217 1218 1219 1220 1221 1222 1223 1224 1225 1226 Another avenue for generalization is provided by the expressive power of the APIs. Our producers and consumers correspond to the  $(\omega)$  regular languages. Are there elegant APIs that capture the context-free languages? If you squint a lot, (sets of) producer types look quite similar to left-regular grammars — which should not be too surprising, given the relation with regular languages. What is the formal version of "squinting a lot"? Does it have an inverse? Which computational interpretation do you obtain by "unsquinting", say, the grammars in Chomsky normal form?

1227 1228 1229 1230 1231 1232 1233 1234 1235 Yet another (arguably more practically relevant) generalization is from sequences to other graphs. What are appropriate APIs for consuming or producing trees? How do different traversal orders (breadth-first, depth-first, etc) factor into the API designs? What about APIs for exploring only a single path through a tree? Will there be a link between APIs for tree processing and grammars of context-free languages? How far can we take sensible APIs for traversing more complex graphs like DAGs or even arbitrary digraphs?

1236 1237 1238 1239 1240 1241 1242 1243 1244 1245 1246 1247 Finally, APIs with support for seeking in sequences or more complex graphs open up the question of who performs the seeking. In a traditional file system API for seeking in and reading from a file, it is the user code that invokes the seeking. But consider instead a texteditor that feeds changes to a text buffer to some plugin. Here, the user code (i.e., the plugin) reads data, but it does not control where in the sequence it reads. The same kind of inverted seeking can happen for more complex data structures: a text editor might update a plugin about changes to a (higher-order) syntax tree, for example. We are not aware of any principled investigation into such APIs.

# 7 Further Reading

1251 In this final section, we want to share some references that could be of interest to anyone wishing to pursue those open questions or to implement a library of sequence abstractions.

1253 1254 1255 1256 1257 1258 1259 1260 1261 1262 1263 We have primarily presented our API designs by deriving them from first principles, instead of relating them to existing designs. While there are plenty of languages and libraries to choose from for documentation of existing APIs, there is much less available material on the reasoning behind those APIs. A notable exception are Oleg Kiselyov's iteratees [\[Kis12\]](#page-12-15) and the resulting streamlined and well-documented iterI0 Haskell library<sup>[16](#page-11-0)</sup>. Their expressivity and rich algebraic structures are remarkable, as is the viewpoint of iteratees as communicating sequential processes. asymmetry is striking: enumerators and iteratees are not at all dual. Particularly interesting is the notion of Inums in the iterIO library: they fulfil the same role as our naïve conducers, while being completely asymmetric (and hence avoiding the problems that require us to move from naïve to

actual conducers). Kiselyov's treasure trove of a website<sup>[17](#page-11-1)</sup> contains several<sup>[18](#page-11-2)</sup>  $\text{collections}^{19}$  $\text{collections}^{19}$  $\text{collections}^{19}$  of writing<sup>[20](#page-11-4)</sup> that pertain to sequence APIs. The writing focuses almost exclusively on producers, with barely a word on consumers or any notions of symmetry or duality. We find it quite exciting that there is such a deep take on the same material that reaches such different conclusions.

Functional reactive programming (FRP) is concerned with APIs for building systems on event streams, a good overview is given in [\[PBN16\]](#page-12-16). Whereas a sequence can be interpreted as a value evolving over discrete timesteps, FRP tackles the challenges of building abstractions (and efficient implementations) for values varying over a continuous notion of time. Discussion of FRP invariably turns to restricting the treatment of time to that of discrete event steps; this notion of FRP is all about what we called producers, discussing efficient implementation techniques, adapters, and combinators. A prominent example of this brand of FRP is the Elm language [\[CC13\]](#page-12-17). Appendix [A](#page-12-0) contains a dozen popular javascript libraries for such FRP.

FRP stands on the shoulders of stream processing. An instructive survey by Stephens [\[Ste97\]](#page-12-18) provides a good introduction. Like us, Stephens laments the lack of a unified theory underlying disparate API design efforts. The theory that Stephens then proposes is a mathematical one rather than one of API designs.

The implementation of iterators (and hence, producers and the symmetric consumers) in imperative langages is typically a highly stateful business. In many cases, particularly when no side-effects are involved, there exist purely functional alternatives [\[Bak93\]](#page-12-19). Gibbons and Oliveira [\[GO09\]](#page-12-20) give a particularly thorough account that incorporates effect handling in a functional setting. The reader who has not spent years obtaining intimite familiarity with the Haskell standard library should be warned that reading this paper is a lot like reading the Silmarillion, in that a startling fraction of words past the introduction are made-up.

In discussing algebraic datatypes together with homogeneous array types as a representation for strict sequences in memory, we glossed over the fact that such representations do not allow numeric indexing. Such representations are also possible, even while maintaining static typing [\[KLS04\]](#page-12-21). The degree to which the strictly limited access provided by

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<span id="page-11-1"></span><sup>17</sup><https://okmij.org/ftp/>

<span id="page-11-2"></span><sup>18</sup><https://okmij.org/ftp/Haskell/Iteratee/index.html>

<span id="page-11-4"></span><span id="page-11-3"></span><sup>19</sup><https://okmij.org/ftp/Streams.html>

<sup>20</sup><https://okmij.org/ftp/Scheme/enumerators-callcc.html>

<sup>1264</sup> 1265

<span id="page-11-0"></span><sup>16</sup><https://hackage.haskell.org/package/iterIO-0.2.2/docs/Data-IterIO.html>

1321 1322 producers and consumers simplifies typing compared to a random-access collection is remarkable.

1323 1324 1325 We finish with a few pieces of literature on iterators that arguably did not stand the test of time, but which provide some creative input to the design space.

1326 1327 1328 1329 Interruptible Iterators [\[LKM06\]](#page-12-22) provide an alternative to generator syntax for implementing iterators. Interrupts aim to allow for easy implementation of internal state changes between or during iteration steps.

1330 1331 1332 Segmented iterators [\[Aus00\]](#page-12-23) address efficiency concerns when working with segmented data structures such as hash tables that consist of several, disjoint arrays of items.

1333 1334 1335 1336 1337 1338 Iterators in the swapping paradigm [\[WEHL94\]](#page-12-24) tackle difficulties in formally verifying properties of iterators. They reimagine iterator for programming laguages that do not copy values by default, but swap them instead. This programming model anticipates the linear-type-like move semantics of languages like Rust.

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# <span id="page-12-0"></span>A Appendix: Javascript Libraries

This list of javaScript libraries for working with lazy sequences is intended to demonstrate that there is a clear need for a solid design that people can fall back to rather than reinventing ad-hoc wheels over and over. We list libraries with at least 200 stars on Github, as of February 2024, found by searching Gihub for "stream", "observable", and "reactive".

- <https://github.com/staltz/xstream>
- <https://github.com/mafintosh/streamx>
- <https://github.com/getify/monio>
- <https://github.com/getify/asynquence>
- <https://github.com/cyclejs/cyclejs>

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 The following libraries do not explicitly define streams, but they do work with observables. Observables are an abstraction for values that (discretely) vary over time. For most intents and purposes, this is isomorphic to the notion of a stream.

- • <https://github.com/reactivex/rxjs>
	- <https://github.com/tc39/proposal-observable>
- • <https://github.com/zenparsing/zen-observable>
	- <https://github.com/vobyjs/oby>

• <https://github.com/adamhaile/S>



- [ECMAScript Iterator](https://tc39.es/ecma262/multipage/control-abstraction-objects.html#sec-%iteratorprototype%-object)
- [ECMAScript AsyncIterator](https://tc39.es/ecma262/multipage/control-abstraction-objects.html#sec-asynciteratorprototype)

Of these roughly 30 competing designs, the pull-streams API is the only one for which we are aware of any academic treatment [\[LH18\]](#page-12-25).