Strict Principles for Lazy Sequences

Aljoscha Meyer Technical University Berlin Berlin, Germany research@aljoscha-meyer.de

Abstract

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

Many common programming tasks, such as networking, are conceptually about lazily working with sequences of unknown length. There are plenty of APIs to choose from — stream and sink, reader and writer, iterator and oopsmissing-counterpart. But these APIs typically vary between languages or libraries. Even within a single ecosystem, there often are inconsistencies between the processing models the different APIs induce.

We argue that a unified design is possible. We aim to provide a starting point for future language and library designers, as well as raise several interesting research questions that arise from taking a principled look at lazy sequences.

ACM Reference Format:

Aljoscha Meyer. 2024. Strict Principles for Lazy Sequences. In *Proceedings of ACM Conference (Conference'17)*. ACM, New York, NY, USA, 14 pages. https://doi.org/10.1145/nnnnnnnnnn

1 Introduction

When sequences of data become too large to fit into memory at once, programs need to process them lazily. From the humble iterator to asynchronous APIs for streams and sinks with error handling and buffering, every language needs libraries for working with lazy sequences.

For such a fundamental, conceptually simple, and languageagnostic problem, one might expect a principled, unified solution that programming language designers and library authors can turn to and implement in their language of choice.

But the opposite is the case. Learning a new programming language implies learning yet another, slightly (or not so slightly) different set of APIs for working with sequences. Even within a single language, there are often competing libraries — appendix A lists some thirty popular Javascript libraries alone.

55

Starting from "*Which* abstraction is the best?", we quickly moved to "*Is* there a best abstraction?", and then to the more constructive "*What* would make an abstraction the best?". In this essay, we present our answers to these questions. In a nutshell:

- 1. Abstractions for working with lazy sequences in the wild are ad-hoc designs.
- 2. We propose a principled way of evaluating them.
- 3. No prior abstractions satisfy all evaluation criteria.
- 4. We develop abstractions that do.
- 5. Everybody everywhere should use our abstractions without further reflection.

We further argue that common designs are needlessly inconsistent.

To give a concrete example: in Rust, the (de-facto standard) Stream API¹ for receiving data from an asynchronous data source has no notion of irrecoverable errors. Meanwhile, the Read API² for receiving many items from a data source with a single function call has a notion of irrecoverable errors. Items are hardcoded to individual bytes, however, and errors are hardcoded to a general-purpose I/O error type. Thus, it is neither possible to turn any Stream into a Reader, nor the other way around.

Conceptually, these two APIs deal with the same issue: lazily producing a sequence. Rust has language-level mechanisms for expressing specialization of APIs and subtyping relations between APIs. Yet each of these abstractions is defined in isolation, with fundamentally different choices of expressivity that make it impossible to fluidly convert between them.

Additional issues arise when considering the opposite of receiving data: sending data off to be processed somehow. The asynchronous, single-item Sink³ is the conceptual analogon of the Stream API, yet it also supports irrecoverable errors. On the other hand, while the Stream API has a synchronous counterpart in the Iterator API⁴, there is no such counterpart for Sinks.

Such a lack of consistency causes unnecessary education efforts, forces programmers to adopt inefficient code (raw bytes are not the only items for which bulk processing is more efficient than individual processing), and introduces

108

109

110

56

Permission to make digital or hard copies of all or part of this work for
 personal or classroom use is granted without fee provided that copies are not
 made or distributed for profit or commercial advantage and that copies bear
 this notice and the full citation on the first page. Copyrights for components
 of this work owned by others than ACM must be honored. Abstracting with
 credit is permitted. To copy otherwise, or republish, to post on servers or to

redistribute to lists, requires prior specific permission and/or a fee. Request
 permissions from permissions@acm.org.

Conference'17, July 2017, Washington, DC, USA

⁵² © 2024 Association for Computing Machinery.

⁵³ ACM ISBN 978-x-xxxx-x/YY/MM...\$15.00

⁵⁴ https://doi.org/10.1145/nnnnnnnnnnn

¹https://docs.rs/futures/0.3.30/futures/stream/trait.Stream.html

²https://doc.rust-lang.org/std/io/trait.Read.html

³https://docs.rs/futures/0.3.30/futures/sink/trait.Sink.html

⁴https://doc.rust-lang.org/std/iter/trait.lterator.html

166

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

frustratingly arbitrary barriers to expressing a conceptualarchitecture of data flows and error handling as actual code.

Hence, the abstractions we propose emphasize consistency, and they build on top of each other. While that *should*sound boring and obvious, it apparently *is* not.

To keep the scope manageable, we restrict our focus to the 116 two simplemost ways of interacting with a (possibly infinite) 117 sequence: consuming a sequence item by item, or producing a 118 119 sequence item by item. Both modes of interaction are of great practical interest, they correspond, for example, to reading 120 121 and writing bytes over a TCP connection. We do not consider more complex settings such as random access in our main 122 123 treatment.

We assume a strictly evaluated language. This makes explicit the design elements that enable laziness.

1.1 Evaluating Sequence APIs

124

125

126

127

128

157

158

159

Equipped with a vague notion of wanting to "lazily consume or produce sequences", how can we do better than simply trying to find a design that satisfies all use-cases we can come up with? In mathematics, one would define a set of criteria that a solution should satisfy, in a way that makes no assumptions about any possible solutions themselves.

For example, a mathematician might want to work with 135 136 numbers "with no gaps in-between" (i.e., the real numbers). They might formalize this intuitive notion as a minimal, infi-137 nite, complete ordered field. Any candidate construction (say, 138 the Dedekind cuts of rational numbers), can now be objec-139 tively measured against the requirements. As an added bonus, 140 141 it turns out that all constructions satisfying the abstract re-142 quirements are isomorphic. Some constructions might be more convenient than others in certain settings, but ulti-143 mately, they are all interchangeable. 144

This approach of construction-independent axiomization
is the only way we can reasonably expect to bring clarity
to the proliferation of competing API designs for lazy sequences.

Sadly, we could not find an airtight mathematical formalism to capture our problem space. The criteria we now
present leave gaps that must be filled by argumentation
rather than proof, the API design still remains part art as
much as science. We nevertheless think that both our approach and our results are novel – and useful.

The criteria by which we shall evaluate lazy sequence abstractions are *minimality*, *symmetry*, and *expressivity*.

Minimality asks that no aspect of the API design can be expressed through other aspects of the design. Removing any feature impacts what can be expressed.

Symmetry asks that reading and writing data should be
 dual. The two intuitive notions of producing and consuming
 a sequence item by item are fully symmetric and sit on the
 same level of abstraction. Any API design that introduces
 an imbalance between the two is either contaminated with

incidental complexity, or it lacks functionality for one of the two access modes.

Expressivity asks that the API design is powerful enough to get the job done, but also no more powerful than necessary. This is by far the most vague of our criteria, because we cannot simply equate more expressivity with a better design. We *can*, however, draw on the theory of formal languages to categorize the classes of sequences whose consumption of production can be described by an API. Some of these classes are more natural candidates than others.

Of these criteria, minimality is arguably the least controversial. Symmetry turns out to be the one we generally find the most neglected in the wild. Expressivity might have the weakest definition, but turns out to be rather unproblematic: real-world constraints on the APIs lead to a level of expressivity that also has a convincing formal counterpart — the ω -regular languages (see section 3.3 for details) — making us quite confident about the appropriate level of expressivity.

To obtain a good indicator for an appropriate level of expressivity, we examine the world of non-lazy sequences, i.e., sequences that can be fully stored in memory.

1.2 Case Study: Strict Sequences

Representing sequences in memory can be done in such a natural way that we have never seen any explicit discussion. We shall assume a typical type system with product types (denoted (S, T)), sum types (denoted S+T), and homogeneous array types (denoted [T]).

Let *T* be a type, then *T* is also the type of a sequence of exactly one item of type *T*. Now, let *S* and *T* be types of sequences. Then (S, T) denotes the concatenations of sequences of type *S* and sequences of type *T*, *S* + *T* denotes the sequences either of type *S* or *T*, and [*T*] denotes the concatenations of arbitrarily (but finitely) many sequences of type *T*. None of this is particularly surprising, we basically just stated that algebraic data types and array types allow you to lay out data sequentially in memory.

Slightly more interesting is the blatant isomorphism to regular expressions. Each of the "sequence combinators" corresponds to an operator to construct regular expressions; the empty type and the unit type correspond to the neutral elements of the choice and concatenation operator respectively.

This is useful for making our expressivity requirement for lazy sequence APIs more precise: if the natural representation of strict sequences admits exactly the regular languages, then the regular languages are also the natural candidate level of expressivity for lazy APIs.

Unlike strict sequences that have to fit into finite memory, lazy sequences can be of infinite length. The natural generalization of the regular languages to infinite strings are the ω -regular languages. Hence, this is the level of expressivity we want to see in lazy APIs.

The strict case also neatly validates the design goals of minimality and symmetry. Removing any combinator leads to a strictly less expressive class of languages, and every
operator comes both with a way of building up values and
with a way of accessing values.

By generalizing the strict case to the lazy case, we can 224 225 make our requirement of expressivity more precise, leading us to our final set of requirements: We want APIs for 226 lazily producing or consuming sequences one item at a time, 227 such that there is a one-to-one mapping between API in-228 229 stances and ω -regular languages, no aspect of the APIs can be removed without loosing this one-to-one mapping, and 230 231 there is full symmetry between consumption and production of sequences. Still not entirely formal, but close enough to 232 meaningfully evaluate and design APIs. 233

235 2 Describing Abstractions

234

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

236 We now start by introducing a notation for API designs. In 237 the following, we use uppercase letters as type variables. 238 (S, T) denotes the product type of types *S* and *T* (intuitively, 239 the cartesian product of *S* and *T*), and () denotes the unit type 240 (intuitively, the type with only a single value). S|T denotes 241 the sum type of S and T (intuitively, the disjoint union of S242 and *T*), and ! denotes the empty type (the type that admits 243 no values). Finally, we write $S \rightarrow T$ for the type of (pure) 244 functions with an argument of type S and a return value of 245 type T. Note we take a purely functional approach here: a 246 function does not mutate its argument, it simply produces a 247 new value. 248

We specify an API as a list of named types (typically functions). Each API can quantify type variables that can be used in its function declarations⁵. As an example, consider the following API:

```
API Iterator <P, I>
next: P -> (I, P) | ()
```

This pseudo-type fragment states that in order to obtain a concrete Iterator, one needs two types: a type P (**P**roducer) and a type I (Item). These types have to be related through existence of a function next, which maps a producer to either an item and and a new producer, or to a value that signifies that no further items can be produced.

To consume this iterator, one would repeatedly call next on the producer returned from the prior call of next, until a call returns ().

A concrete example of such an iterator are the homogenous arrays of *I*s as producers of items of type *I*s; next returns () for the empty array, otherwise it returns the first item in the array and the array obtained by removing the first item.

This API is completely stateless, we never mutate any *P*. In an imperative programming language, one would typically use a function that takes a reference to a *P* and returns either

an I or (), and then make all implementors pinky-swear to not invoke the function with a P that has returned () previously.

Conference'17, July 2017, Washington, DC, USA

We prefer the purely functional notation, because it can express the pinky-swearing API contract on the type level. But all our designs can easily be translated into an imperative, stateful setting. The other way around, by converting stateful references into input values and output values, we can represent APIs from imperative languages in our notation. For example, this Iterator API captures the semantics of commonly used iterator APIs such as those of Python⁶ or Rust⁷. It handily abstracts over the fact that Rust has actual sum types, whereas Python signals the end of iteration with an exception.

Lazy sequence abstractions often come up in the context of asynchronous programming. Programming languages typically have an idiomatic approach to asynchronous functions; most modern languages have them return a Future<T> or Promise<T>, that is, a value that represents that some value of type T will become available in the future. Other approaches include passing the code to process the result of the asynchronous function as a continuation (often called a *callback*), or concurrency via lightweight process abstractions.

We posit that there is little reason for the sequence abstractions to differ between synchronous and asynchronous settings. In most modern languages, the change to convert a synchronous function signature to an asynchronous one is purely mechanical. Hence, we will implicitly abstract over asynchrony and not mention it in our API designs.

For completeness sake, we should mention that there also are techniques for *explicitly* abstracting over asynchrony and other *effects* via monadic effect management [Wad95]. To the readers already familiar with this technique, adjusting our designs is not difficult. To everyone else — i.e., to the vast majority of practicioners we would like to reach — obscuring our presentation behind higher-kinded type constructors poses an unnecessary barrier to access. Thus we keep the act of abstraction implicit. We point the interested reader to a fairly recent example of a monad for asynchrony [ZBL20], as well as to the alternate formalism of asynchronous *algebraic* effects [Lei17][AP21].

Another kind of effect that *will* come up later is that of errors. Similar to how an asynchronous function is like a regular function but might take its time, a fallible function is like a regular function but might abort with an error. And similar to how modern languages have their idioms for asynchrony (often, async-await syntax), they also have idioms for fallible computations (often, try-catch syntax). Unlike asynchrony, error handling has some interesting implications for 276

277

278

279

280

281

282

283

284

285

286

287

288

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

326

327

328

329

⁵More formally, this is a notation for ad-hoc polymorphism [WB89] like Haskell's type classes, Java's interfaces, or Rust's traits.

⁶https://wiki.python.org/moin/Iterator

⁷https://doc.rust-lang.org/std/iter/trait.lterator.html

386

387

388

389

390

391

392

393

394

395

396

397

398

399

communication flows in the API designs, so we return to the 331 topic proper in section 3.2. 332

A Principled Design 3

333

334

343

344

345

346

347

348

349

350

351

352

353

354

355

356

357

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372

373

374

375

335 We now derive APIs for producing and consuming sequences 336 one item at a time, guided by minimality, symmetry, and ex-337 pressivity (section 3.1). The issue of error handling deserves 338 its own discussion (section 3.2). Finally, we argue that the 339 designs are indeed sufficiently symmetric and of appropriate 340 expressiveness (section 3.3) – while our arguments are not 341 fully formal, they are at least formalizable. 342

3.1 Deriving Our Design

To derive a principled design step by step, we start with simplemost producer API: a producer that emits an infinite stream of items of the same type.

```
API InfiniteProducer <P, I >
    produce: P \rightarrow (I, P)
```

An iterator, in contrast, expresses a *finite* stream of items, by making the result of a sum type with a unit type option to signal termination. This is not to say that you could not implement infinite iterators, but the typing for those is unprecise – a statically typed language forces programmers to provide code for the end-of-iteration case, even though they might now it will newer occur.

We can easily abstract over both finite and infinite producers through a simple realization: we can rewrite the produce function of the InfiniteProducer as a sum with the empty type, without changing the semantics at all (it is impossible to provide an instance of the empty type, so the function must always return another item when called):

```
API AlsoAnInfiniteProducer <P, I>
    produce: P \rightarrow (I, P) \mid !
```

Now, the infinite producer and the finite iterator have the exact same form, and we can introduce a type parameter for the summand to express either:

| API | Producer <p,< th=""><th>Ι,</th><th>F ></th><th></th><th></th></p,<> | Ι, | F > | | |
|-----|--|----|-----|----|---|
| | produce: P - | -> | (I, | P) | F |

Setting the type parameter F (for *final item*) to ! or () yields the infinite streams and the finite streams over a single type of items, respectively.

Another natural choice for F are irrecoverable error types; 376 377 most APIs with this design designate the type parameter as a type of errors explicitly. This denotation obscures how 378 379 the same abstraction can also represent iterators or infinite streams, however. 380

Moreover, it obscures that F might be another producer 381 itself, with which to continue production. Through this use 382 of the API, we can effectively concatenate any producer 383 384 after any finite producer. This usage is the cornerstone of 385

achieving the expressivity of the ω -regular languages, and one we have not encountered in the wild at all.

To give a tangible example of how this degree of expressivity can be useful, consider a networking protocol that proceeds in stages: first a handshake for connection establishment, followed by an exchange of key-value pairs that signify the capabilities of an endpoint, followed by the applicationlevel message exchange. With an API parameterized over arbitrary final values, you can implement each stage in a typesafe way, and then concatenate the stages both in execution and on the type-level. Traditional APIs force programmers to either lump the different kinds of messages (handshake, key-value pairs, application-level) into a single sum type, or to forego helpful typing altogether and operate on the level of bytes.

A symmetric consumer API should be one that can be given either an item of type I – returning a new consumer value to continue the process - or a final item of some type F – without returning a consumer to continue with.

Ideally, we should be able to mechanically derive this API as a dual of the producer API. A tempting option is to "flip all arrows" and simply swap argument and return type of the produce function:

API Recudorp < P, I, F > ecudorp: $((I, P) | F) \rightarrow P$

We can clean this up by splitting the function of a sum type argument into two independent functions (the resulting types are isomorphic), and giving more conventional names:

| API | NotQuiteConsumer < C, I,] | F > |
|-----|---------------------------------|-----|
| | consume: $(I, C) \rightarrow C$ | |
| | create: F -> C | |

Unfortunately, this does not give the kind of API we were hoping for. The consume function is appropriate, but the second function is not closing a consumer, but creates a consumer. A straightforward dual construction gives too strong of a reversal to yield an API suitable for practical use.

Hence, Instead of a fully dual construction, we instead derive a consumer API in steps analogous to those for deriving the Producer API. We start again with the consumers of infinite sequences and the consumers of finite sequences:

| API | InfiniteConsumer <c, i=""></c,> |
|-----|---------------------------------|
| | consume: $(I, C) \rightarrow C$ |
| | |
| API | FiniteConsumer <c, i=""></c,> |
| | consume: $(I, C) \rightarrow C$ |
| | close: C -> C |

We can again introduce a type parameter for the final sequence item to unify the APIs; observe how using ! or () for the parameter F in the following API yields results isomorphic to the InfiniteConsumer and FiniteConsumer APIs respectively:

4

427

428

429

430

431

432

433

434

435

436

437

438

439

| 441 | API Consumer <c, f="" i,=""></c,> |
|-----|-----------------------------------|
| 442 | consume: $(I, C) \rightarrow C$ |
| 443 | close: (F, C) -> () |
| 444 | |

This API is fully symmetric to the Producer: the consumer can consume exactly those sequences that a producer can produce, by feeding the final item into *close*. It is rather unusual in that we have never seen an API whose close function takes an argument in the wild.

Another unusual aspect is the inability of a consumer
to report errors to its calling code. This is severe enough
of a departure from typical APIs to warrant a dedicated
discussion.

455 3.2 Communication Flow

454

The inability to emit errors appears to make our proposed 456 Consumer API unsuitable for network programming, for ex-457 ample. The underlying characteristics of the design are more 458 general than just error reporting: code interacting with a 459 460 consumer can pass information to the consumer but can-461 not obtain any information from the consumer. Observe that conversely, code interacting with a producer can obtain infor-462 mation from the producer but cannot pass any information 463 to the producer. 464

This rigorous a restriction on communication flows evokes
design choices such as the unidirectional communication
primitives of security-focussed micro-kernels like seL4 [MMB⁺13]
so there clearly is a place for such constrained APIs. But
the consumer API does not seem appropriate as a generalpurpose API.

471 Trying to add the missing communication flows raises 472 some interesting questions. Should consumer return the next consumer and another piece of information, or the next con-473 sumer or another piece of information? What about close 474 should it be able to return extra information, or not? How 475 should symmetry be preserved – does the Producer API 476 477 require a stop function that lets the surrounding code communicate that (and why) produce will no longer be called? 478 Should produce itself take a piece of information as input? 479

Our fairly principled approach of aiming for minimal, symmetric, regular-languagy APIs provides no guidance here, as
these communication flows exist outside our semi-formalized
problem domain. Any choices we need to make are essentially arbitrary.

We see two ways out of this problem. The simplemost 485 solution is acceptance. When a programmer wishes to write 486 487 data to a network through a consumer interface, they need a corresponding producer to emit any feedback such as con-488 489 nection failures. Considering that typical networking APIs use the same error type for reading and writing data, this 490 doesn't seem too far-fetched. Then again, the difficulties in 491 migrating from more typical APIs to this style of error han-492 dling are hard to estimate. An argumentative essay like this 493 494 one cannot conclusively establish a result, we merely want to

496

497

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

516

517

518

519

520

521

522

523

524

525

526

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542

543

544

545

546

547

548

549

550

raise that accepting a consumer API without error reporting might be more feasible than it appears at first glance.

The other solution is to consider fallibility as an *effect*. Just like the functions we use in our APIs might be asynchronous, they might also be fallible. Different programming languages could represent this in different ways: some could use exceptions, others could consistently use a Result type (a sum type of either the actual value of interest or an error value) — the latter is a simple and classic example of monadic effect handling. We can keep using the same notation as before, but consider every function as possibly fallible.

Nevertheless, it is instructive to look at the APIs that result from adding explicit error return options (of some type *E*) to all functions:

| API | FallibleConsumer <c, e="" f,="" i,=""></c,> |
|-----|--|
| | consume: $(I, C) \rightarrow C \mid E$ |
| | close: (F, C) -> () E |
| | |
| API | FallibleProducer <p ,="" e="" f="" i=""></p> |
| | produce: P -> (I, P) F E |

The APIs look quite asymmetric suddenly, because the FallibleProducer does not mirror the communication flow of the consumer, as that would require functions that take *Es* as arguments. Further, the return type of produce appears to violate minimality, as *E* and *F* could be combined into a single type parameter in principle. This demonstrates that the perspective of errors as effects is crucial to meaningfully evaluating sequence APIs — both ours, and those in the wild.

We will continue our discussion with the raw Producer and Consumer APIs, and leave it to the reader to decide whether their functions should be fallible (and/or asynchronous, for that matter), or not.

3.3 Evaluating Our Design

Are our Producer and Consumer APIs minimal, symmetric, and expressive on the level of (ω -) regular languages?

| API | Producer <p, f="" i,=""></p,> |
|-----|---------------------------------|
| | produce: P -> (I, P) F |
| | |
| API | Consumer < C, I, F > |
| | consume: $(I, C) \rightarrow C$ |
| | close: (F, C) -> () |

Symmetry is not immediately apparent; there is no obvious sense in which the two APIs are dual. We derived the APIs in analogous steps, but that is not an inherent property. And they even have a different number of functions!

Still, we can make a solid argument based on the observation that the APIs *compose* in a satisfying way.

Composing a producer with a consumer amounts to piping the data that the producer produces into the consumer:

Require: P, C, I, F are types such that Producer<P, I, F> 551 and Consumer<C, I, F> 552 **procedure** PIPE(p : P, c : C): () 553 loop 554 555 $x \leftarrow \text{PRODUCE}(p)$ if x is of type F then 556 CLOSE(x, c)557 return () 558 else 559 $c \leftarrow \text{CONSUME}(x.0)$ 560 $p \leftarrow x.1$ 561 end if 562 end loop 563 564 end procedure 565 The pipe function returns the unit type. On a purely ab-

stract level, composing to the unit type. On a putery ab stract level, composing to the unit type evokes the concept
 of an element and its inverse composing to an identity el ement. This seems as strong a formal notion of symmetry
 (without *actually* formalizing things) we can hope for, aside
 from immediate duality.

The *close* function taking an argument nicely mirrors the *produce* function emitting a final argument. In particular, if Fis another producer, then the consumer can *pipe* it in its *close* implementation into an inner consumer. The overall return type is still () — the unassuming *pipe* function can handle multi-stage processing pipelines wihout any modification.

577 We can make a similar compositional argument for com-578 posing the other way around: it should be possible to create 579 a pair of a consumer and a producer such that the producer 580 produces everything that the consumer consumes (in the 581 same order, i.e., as an in-memory queue). Such a queue is, 582 in some vague sense, the neutral element of transformation 583 steps in a pipeline (we return to this concept in section 4.1). 584 Here, we see another benefit of the close function taking 585 an argument: we can map this argument directly to the final 586 value to be emitted by produce.

587 Having argued that our design is indeed symmetric in a 588 meaningful way, we turn to the question of expressivity. Our 589 core argument rests on the observation that each Producer 590 (or Consumer) defines a formal language over an alphabet of 591 atomic types. More precisely, a Producer<P, I, F> emits an 592 arbitrary number of repetitions of values of type I, followed 593 by a single value of type F. In more traditional notation of a 594 language as a set of strings, it denotes the set $\{I\}^* \circ \{F\}$. 595

Given this mapping from sequence APIs to languages, which class of languages do our APIs describe? We claim they - in concert with sums, products, and functions - describe the union of the regular and the ω -regular languages.

596

597

598

599

600

601

602

603

604

605

The *ω*-regular languages over Σ are the sets of infinite strings over Σ that are either a concatenation of infinitely

many words from the same regular language⁸ over Σ (*infinite iteration*), or the concatenation of a regular language and an ω -regular language over Σ , or a choice between finitely many ω -regular languages over Σ .

As already argued in section 1.2, sum types and product tyes correspond to choice and concatenation of regular expressions respectively. Unlike the strict case, we cannot rely on homogeneous arrays to act as the counterpart to the Kleene operator, but this is where the Producer API comes in (everything applies analogously for the Consumer API): a Producer<P, I, F> can produce an arbitrary number of repetitions of Is, followed by a single F. In particular, a Producer<P, I, ()> corresponds to the Kleene operator, and a Producer<P, I, !> corresponds to infinite iteration.

Unfortunately, this simple perspective is not fully accurate. Product types as concatenation are too powerful for us: consider a product (P_1, P_2) , where P_1 is a Producer<P, I, !>. The corresponding language would be a concatenation with an ω -language on the left, but this is explicitly ruled out by the definition of ω -regular languages. Another facet of the same problem is that the type (S, T) is not one that describes *first* emitting an *S* and *then* a *T*, as it presents both simultaneously.

To solve this, we can restrict the set of well-formed sequence types we consider to pairs $(S, () \rightarrow T)$ for (sums of) non-repeated types *S* and arbitrary types *T*, and Producer<P, S, T> for repeated types *S*. This removes the ability to express concatenations with an ω -language as the left operand, and introduces the required indirection to express "first *S*, then *T*" (remember that we assume our functions to abstract over effects, so there might well be asynchronicity involved in obtaining the *T* after processing the *S*).

We shall not dwell on this subtlety in greater detail, because it does not affect our two main points: our API is expressive enough to decribe regular (ω -) regular languages, whereas a more traditional API *without* a dedicated type for the final item is *not* expressive enough, resulting in an unjustified reduction in expressive power compared to representing strict sequences in memory. In particular, traditional APIs cannot express concatenation of two sequences with different item types.

Finally, our designs are indeed minimal: removing any feature reduces expressivity, because all features are necessary to obtain the correspondence to the $(\omega$ -) regular languages.

4 Working With Producers and Consumers

Having settled on designs for Producer and Consumer APIs, we now turn to how they can or should should be used in practice. We note a powerful pattern of composability in

614

615

616

617

618

619

620

621

622

623

624

625

626

627

628

629

630

631

632

633

634

635

636

637

638

639

640

641

642

643

644

645

646

647

648

649

650

651

652

653

654

655

656

657

658

659 660

606

607

608

⁸We assume familiarity with regular languages, for an introduction see [HU69], for example. Or do the sensible thing of searching for "regular language" on Wikipedia.

section 4.1, muse about language-level support in section 4.2,before turning to matters of efficiency in section 4.3.

664 4.1 Conducers

663

665 In section 3.3, we briefly considered an in-memory queue: a pair of a consumer and a producer such that the pro-666 ducer emits exactly the item consumed by the consumer. 667 We can consider such a pair as a single value that imple-668 669 ments both the Consumer and the Producer API; we shall call such a value a naïve conducer (portmanteau of consumer 670 671 and producer). The naïveté will become apparent once we go from intuitive notions of composability to actual implemen-672 tation; for now we ask the reader to suspend some disbelieve 673 and let the concept guide us toward the more useful actual 674 conducers. 675

Naïve conducers make an appealing foundation for con-676 structing and composing producers and consumers. You can 677 use a single naïve conducer definition to both obtain a new 678 producer from a producer or a new consumer from a con-679 680 sumer. Consider the naïve queue conducer: composing a 681 producer with the naïve conducer yields a new producer that buffers some number of items before emitting them. 682 Composing the naïve conducer with a consumer yields a 683 new consumer that buffers some number of items before 684 consuming them in the inner consumer. 685

This dual-purpose usage constitutes a tangible advantage of being hellbent on symmetry. As a second example, consider a naïve conducer constructed from some function of type $S \rightarrow T$ that is a consumer for items of type S and a producer for items of type T. This naïve *map* conducer can both adapt the items emitted by a producer, or adapt the items accepted by a consumer.

Naïve conducers need not preserve a one-to-one mapping 693 between consumed items and produced items. The common 694 tasks of encoding and decoding values for transport can be 695 captured elegantly by naïve conducers: a decoder consumes 696 697 items of some type S (often, S would be the type of bytes) and occasionally produces an item of some type T, an encoder 698 consumes items of some type T and produces many items of 699 some type S. 700

Unfortunately, none of this actually works. In order to, for 701 example, compose a naïve conducer in front of a consumer, 702 the *consume* function of the resulting consumer would have 703 to first call the consume function of the naïve conducer. Then, 704 it would need to correctly guess how many times to call the 705 naïve conducer's produce function, in order to feed the re-706 sults to the inner consumer. A general-purpose composition 707 routine can neither know how many items the inner con-708 sumer expects, nor how many items the naïve conducer can 709 produce at any point in time. 710

One obvious solution is to explicitly manage metadata
about which functions can and should be called at runtime,
but this creates computational overhead. Another simple
solution is to restrict naïve conducer to producing exactly

716

717

718

719

720

721

722

723

724

725

726

727

728

729

730

731

732

733

734

735

736

737

738

739

740

741

742

743

744

745

746

747

748

749

750

751

752

753

754

755

756

757

758

759

760

761

762

763

764

765

766

767

768

769

770

one item per item they consume, but this severely restricts expressivity — in particular, it prohibits encoders and decoders.

Toward a zero-overhead, expressive solution, we temporarily abandon the dual-usage intuition behind naïve conducers, and examine consumers and producers separately. We define a *consumer adapter* as a function that maps an arbitrary consumer to another consumer, and a *producer adapter* as a function that maps an arbitrary producer to another producer.

These adapters can implement the same functionality as naïve conducers, but in a way that actually works. Consider, for example, a consumer adapter for encoding items of type S to many items of type T. The consumer adapter can produce a consumer that consumes an item of type S, computes the encoding, and calls the *consume* function of the inner consumer once for each T of the encoding. The corresponding producer adapter, when asked to produce a value of type T, asks the wrapped producer for value of type S, and computes the encoding. It then returns the first T of the encoding and buffers the remaining encoding, to be admitted on subsequent calls to *produce*. Only when the buffer has become empty does it request another item from the wrapped producer.

There is a large amount of overlap and symmetry between the encoding consumer adapter and the encoding producer adapter, note how both use the same procedure for the actual encoding, and both need to buffer the result in between subsequent calls to the wrapped consumer or producer respectively. We call a pair of consumer and producer adapters that implements a naïve conducer an (actual) *conducer*.

While such conducers are an interesting tool to reason about working with lazy sequences, they do not provide an immediate software engineering benefit: the two adapters need to be implemented independently. In the spirit of full symmetry, we now have to duplicate all implementation efforts.

To improve on this, we next take a look at how programming language syntax (or macros) can make it possible to write a single definition that then yields both adapters of a conducer. To do so, we first need to investigate dedicated syntax for producers and consumers separately.

4.2 Syntax Considerations

Many programming languages offer generator syntax for creating iterators, and for loops for consuming iterators. A language designed with our APIs in mind could provide more powerful syntax.

Generators⁹ provide dedicated syntax for creating producers, with yield emitting repeated items and return emitting the final value. As an example, the following pseudo-code emits the numbers from zero to nine and then the final string

⁹https://peps.python.org/pep-0255/

Aljoscha Meyer

832

833

834

835

836

837

838

839

840

841

842

843

844

845

846

847

848

849

850

851

852

853

854

862

863

864

865

866

867

868

869

870

871

872

873

874

875

876

877

878

879

880

"hi". We use atypical choices of keywords (producer in-771 stead of generator, produce instead of yield, and produce 772 final instead of return) to be obnoxiously explicit about 773 the intended semantics, and to prepare for a symmtric con-774 775 sumer design:

```
producer
    i = 0
    while i < 10
        produce i
    produce final "hi"
```

We are not aware of any language that provides a symmetric construction for creating consumers. Dreaming up an initial symmetric design seems straightfoward enough:

consumer

776

777

778

779

780

781

782

783

784

785

786

787

788

789

790

791

792

793

794

795

796

797

798

799

800

801

802

803

804 805

813

814

```
x = consume
   y = consume
until consume final z
    doSomething(x + y + z)
```

This design does leave open some questions: what if the consume function of the created consumer is called more often then there are consume keywords in the main consumer body? And should it always be valid to jump to the until consume final block, or only at the end of the main consumer body?

Since the basic consumer design allows no communication to the calling code, a simple solution to the problem of too many consume calls is to implicitly wrap the main consumer body in a loop. In a setting with fallible consumers, a consumer that wants to limit the number of possible calls to consume can simply add an extra consume expression and throw from there:

```
consumer
```

```
806
         x = consume
807
         y = consume
808
         _ = consume
809
         throw "too_much_information"
810
     until consume final z
811
         doSomething(x + y + z)
812
```

To allow for control about what to do when *close* is called depending on the current state of the consumer, the naïve 815 until consume final can be replaced with a mechanism that mimics try-catch blocks: 816

```
817
     consumer
818
          consumeblock
819
               x = consume
820
          until
821
               throw "too_little_information"
822
          consumeblock
823
               y = consume
824
825
```

| until z | 826 |
|------------------------------|-----|
| doSomething(x + y + z) | 827 |
| consumeblock | 828 |
| = consume | 829 |
| until | 830 |
| throw "too much information" | 831 |

Our syntax is deliberately painful: we do not claim that these are the best design choices, we merely want to demonstrate that providing a meaningful and useful consumer syntax is indeed possible. And after extrapolating the logic that leads to our API designs, designing generators into languages without a corresponding consumer equivalent feels questionable.

A particular usecase we want to highlight for explicit (asynchronous) consumer syntax is that of implementing asynchronous parsers. Typically this involves writing a statemachine or otherwise putting a lot of manual work into ensuring a parser that can suspend its execution when reaching the temporary end of input and then resume once more input becomes available. The consumer syntax allows writing asynchronous parsers that look just like synchronous ones.

Assuming the questions around dedicated consumer syntax have been solved, the next logical step is to combine the consumer and producer keywords into a more powerful conducer language construct. As an example, we sketch an encoder conducer for converting 16-bit integers into sequences (pairs) of 8-bit integers:

| conducer | 855 |
|-----------------|-----|
| consumeblock | 856 |
| x = consume | 857 |
| produce x / 256 | 858 |
| produce x % 256 | 859 |
| until f | 860 |
| produce final f | 861 |

From such a construct, both a consumer adapter and a producer adapter can be generated. For the consumer adapter, the consume expressions provide the entry points to the state machine of the consume function, and each produce expression translates to a *consume* call of the wrapped consumer. For the producer adapter, the produce expressions provide the entry points to the state machine of the produce function, and each consume expression translates to a produce call of the wrapped producer.

Finally, we want to draw a parallel to coroutines[MI09], as implemented, for example, in Lua[Ier06]. In (that particular brand of) coroutines, the yield expression in the coroutine implementation not only yields a value to the outside world, but it also evaluates to a value that is given as part of the expression that resumes the coroutine. We can see our conducer syntax as a generalization of this pattern. Coroutines tie incoming and outgoing communication to the same points in

the coroutine, marked by yield, whereas our design decouples them via consume and produce. In fact, Lua's coroutine
approach is equivalent to naïve conducers restricted to maintaining a one-to-one correspondence between consumption
and production. Our syntax allows arbitrarily splitting the
communication. Hence, conducers generalize coroutines.

4.3 Buffering and Bulk Processing

887

888

905

906

907

908

909

915

916

917

918

We now turn to questions of efficiency. While consumers
and producers make for nice building blocks of programs
because they are conceptually simple to reason about, it is
inefficient in practice to process items one by one.

One problem of processing items one at a time is that performing side effects is often expensive, for example, when system calls are involved. Writing a file byte by byte with individual system calls is orders of magnitude slower than buffering bytes sequentially in memory and writing many bytes with a single system call.

An easy solution is to allow consumers to buffer items internally, leaving them the freedom to arbitrarily delay actual processing indefinitely to optimize for efficiency. When writing to a consumer in order to perform side-effects, the programmer needs a way to force the consumer to stop delaying, *flush* its buffer, and actually trigger the effects:

API BufferedConsumer <C, I, F> consume: (I, C) -> C close: (F, C) -> () flush: C -> C

The buffered consumer with a flush function is a staple of real-world APIs. The analogous functionality for producers, however, is one we have never encountered. The opposite of *flushing* as much data as possible *out of* a buffer is *slurping* as much data as possible *into* a buffer.

API BufferedProducer <P, I, F> produce: P -> (I, P) | F slurp: P -> P

Unlike flushing a consumer, slurping a producer does not 919 serve to immediately trigger effectful production of items. 920 Still, there are arguments in favor of a slurp function on pro-921 ducers that go beyond the consistency gains of maintaining 922 symmetry (although that alone would already suffice in our 923 opinion). Consider a producer that emits items from some 924 effectful source which might stop working at any moment 925 (e.g., a network connection). Slurping allows the program-926 927 mer to pre-fetch data even though processing the available data might be time-consuming and not yet finished, thus 928 929 reducing the probability that a later connection failure leads 930 to data loss.

System calls are not the only reason for processing data
in bulk. Simply copying consecutive bytes in memory from
one location to another is significantly more efficient than
copying each byte individually. Hence, many programming

936

937

938

939

940

941

942

943

944

945

946

947

948

949

950

951

952

953

954

955

956

957

958

959

960

961

962

963

964

965

966

967

968

969

970

971

972

973

974

975

976

977

978

979

980

981

982

983

984

985

986

987

988

989

990

languages offer APIs for producing or consuming many items at a time by way of *slices* (a pointer paired with the number of items stored consecutively in memory starting at the pointedto address).

A typical example of such *readers* (producers of many bytes simultaneously) and *writers* (consumers of many bytes simultaneously) are the Reader¹⁰ and Writer¹¹ abstractions of the Go language. To translate them into pseudo-types, we write &r[T] for a slice of values of type T that may be read but not written, and &w[T] for a slice of values of type T that may be written but not read. The Go APIs then translate to the following:

The read function *writes* (produces) some number of items into a slice, and returns how many items were written. The *wr* i te function *reads* (consumes) some number of items from a slice, and returns how many items were read. A return value of zero typically indicates the end of the sequence¹². We can easily generalize to arbitrary final values of some type *F* by requiring the returned number to be non-zero and extending the return sum type by a third¹³ option of type *F*.

Setting aside the interesting naming choices and the fact that most langages unnecessarily specialize the item type to that of 8-bit integers, these APIs display a perfect symmetry that APIs for operating on individual items usually lack.

It is tempting to think of readers and writers as *general-izations* of producers and consumers respectively, but that viewpoint brings a problematic amount of freedom — which parts should be generalized, and which parts should stay the same? Consider, for example, our restrictions to exclusively reading or writing from slices. This is more restrictive than allowing arbitrary access to the slices, and, given the defaults of programming languages (no mainstream languages support write-only pointers), the default choice of many is unrestricted access to the slices. The Rust community has had to put a lot of energy into dealing with the consequences of such an oversight in its standard library¹⁴.

Instead, we propose to think about readers and writers as optimization details: any *read* must be equivalent to a

¹¹https://pkg.go.dev/io#Writer

¹⁰https://pkg.go.dev/io#Reader

¹²In a synchronous setting, if no data is currently available but there might be more data in the future, the functions should block instead of returning zero. In an asynchronous setting, the functions should be parked to be resumed at a later point.

¹³Or a *second* option, if we consider the error case as an effect.

¹⁴Rust allows for uninitialized memory, but *reading* from unitialized memory is unsafe. See https://github.com/rust-lang/rfcs/blob/master/text/2930-read-buf.md and https://blog.yoshuawuyts.com/uninit-read-write/ for details on how this affects its reader API.

series of zero or more calls to produce, and any write must 991 be equivalent to a series of zero or more calls to consume. 992 This viewpoint precisely defines the semantics of the reader 993 and writer APIs, and cleanly specifies answers to questions 994 995 that might otherwise be non-obvious: may read access the contents of the slice? No. What should read or write do when 996 given an empty slice? Nothing. Is every (buffered) reader 997 or writer a (buffered) producer or consumer respectively? 998 999 Absolutely.

This last question is crucial: readers are subtypes of pro-1000 1001 ducers, and writers are subtypes of consumers. If you take away only one point from this essay, this is the one. 1002

Readers and writers stem from file system abstractions, 1003 the duality of reading and writing to or from a file make 1004 their symmetry an obvious requirement. Streams and sinks 1005 trace back to iterators, which arose from traversal of (poly-1006 morphic) data structures, hence making the genericity of 1007 items an obvious requirement. If programming languages 1008 had routinely linked the two abstractions by a subtyping 1009 1010 relation, we could have had fully symmetric, fully generic, 1011 unified APIs for decades. Instead, these abstractions have remained incomplete, and, consequently, interoperate badly. 1012

One problem with the reader and writer APIs is that they 1013 do not compose very nicely: in order to move data from a 1014 reader to a writer, you need to specifically allocate an array 1015 1016 into which to first copy the data via read, and from which to then copy the data via write. An alternate API choice without 1017 this problem is to expose slices of internal buffers instead of 1018 processing slices of external buffers: 1019

```
1020
     API BulkProducer <P, I, F>
1021
     extends BufferedProducer <P, I, F>
1022
         producer_slots: (P) -> &r[I] | F
1023
         process_produced: (P, Nat) -> P
1024
1025
     API BulkConsumer < C, I, F >
1026
1027
     extends BufferedConsumer <C, I, F>
1028
         consumer_slots: (C) -> &w[I]
1029
         process consumed: (P, Nat) -> P
1030
     # To close, use the BufferedConsumer
1031
      close function
```

1032

The consumer slots function provides a slice into an inner 1033 buffer of a BulkConsumer, into which the calling code can 1034 write. To trigger actual processing of the written items, the 1035 proces_consumed function notifies the consumer how many 1036 items were written and tasks it to consume them. The seman-1037 tics of calling *process* consumed with some argument n must 1038 1039 be those of calling *consume n* times, with the items written to the slice returned by *consumer_slots*. The BulkProducer 1040 API works analogously. 1041

Whereas a writer API requires the data to be consumed 1042 to be in an array, the bulk consumer is required to organize 1043 its internal buffer as an array. In practice, things are most 1044 1045

efficient if both sides of the exchange store data consecutively in memory, so we don't expect this shift in responsibility to make a difference to anyone who uses bulk processing for efficiency reasons.

Our APIs are more low-level than the traditional reader and writer APIs: The traditional read and write functions we propose to call them *bulk* produce and *bulk* consume – can easily be implemented as helper functions that take a slice and copy from or into (respectively) the slots exposed by the bulk API.

Given such *bulk_produce* and *bulk_consume* functions, there are now two semantically equivalent ways of piping a bulk producer into a bulk consumer: *pipe bulk consume* uses the *producer_slots* of the producer as the slice argument to bulk_consume on the consumer, and pipe_bulk_produce uses the consumer_slots of the consumer as the slice argument to bulk produce on the producer. Neither of these requires allocation of an external buffer to facilitate the communication.

A final, interesting observation on this topic concerns memory safety. In a language with a concept of uninitialized memory that is acceptable to write to but not to read from, a bulk consumer is free to expose a (write-only) slice of uninitialized memory in its consumer_slots function. Whenever process consumed is called thereafter, the consumer can assume that the memory for the indicated number of items has been initialized. If the calling code is faulty, this can lead to undefined behavior, making the process consumed function unsafe in the Rust sense of the word, i.e., it can trigger undefined behavior when its contract is not upheld. There is no such problem with the bulk producer API. Thankfully, the *bulk_consume* helper function fully insulates from this source of errors.

5 Summary

This concludes our main arguments and designs. Figure 1 lists our final APIs. Our main points of departure from current mainstream designs are the following:

- Full symmetry between producers and consumers.
- Equivalent APIs irrespective of effects such as asynchrony or fallability.
- A dedicated type for the last sequence item, drastically increasing expressivity.
- Slurping producers.
- Bulk processing for items other than raw bytes.
- Subtyping relation between bulk processors and regular processors.
- Zero-copy bulk APIs.
- Dedicated consumer syntax as a counterpart to generators.
- Conducer syntax to automatically derive adapters for both consumers and producers simultaneously.

10

1046

1047

1048

1049

1050

1051

1052

1053

1054

1055

1056

1057

1058

1059

1060

1061

1062

1063

1064

1065

1066

1067

1068

1069

1070

1071

1072

1073

1074

1075

1076

1077

1078

1079

1080

1081

1082

1083

1084

1085

1086

1087

1088

1089

1090

1091

1092

1093

1094

1095

1096

1097

1098

1099

Strict Principles for Lazy Sequences

1170

1171

1172

1173

1174

1175

1176

1177

1178

1179

1180

1181

1182

1183

1184

1185

1186

1187

1188

1189

1190

1191

1192

1193

1194

1195

1196

1197

1198

1199

1200

1201

1202

1203

1204

1205

1206

1207

1208

1209

1210

| 1101 | API Producer < P, I, F> | API Consumer <c, f="" i,=""></c,> | 1156 |
|------|---|---|------|
| 1102 | produce: $P \rightarrow (I, P) \mid F$ | consume: $(I, C) \rightarrow C$ | 1157 |
| 1103 | F | close: $(F, C) \rightarrow ()$ | 1158 |
| 1104 | | (1, 0) = (1, 0) = (1) | 1159 |
| 1105 | | | 1160 |
| 1106 | API BufferedProducer <p, 1,="" f=""></p,> | API BufferedConsumer <c, f="" i,=""></c,> | 1161 |
| 1107 | extends Producer < P, I, F> | extends Consumer <c, f="" i,=""></c,> | 1162 |
| 1108 | slurp: P -> P | flush: C -> C | 1163 |
| 1109 | | | 1164 |
| 1110 | API BulkProducer < P, I, F> | API BulkConsumer <c, f="" i,=""></c,> | 1165 |
| 1111 | extends BufferedProducer <p, f="" i,=""></p,> | extends BufferedConsumer <c, f="" i,=""></c,> | 1166 |
| 1112 | producer_slots: (P) -> &r[I] F | consumer_slots: (C) -> &w[I] | 1167 |
| 1113 | process produced: (P, Nat) -> P | process consumed: (P, Nat) -> P | 1168 |
| 1114 | · -· · · · · · · · · · · · · · · · · · | | 1169 |

Figure 1. Our API designs in a single place.

1118 6 Onward!

1115

1116

1117

We have proposed and argued for some simple designs, but
there is still plenty of engineering and research left to be
done.

1122 What is up with conducers? Is the introduction of dedi-1123 cated syntax really the best way of deriving consumer and 1124 producer adapters from a single specification? Is there a nicer 1125 API design that captures the same degree of composability 1126 without requiring this split? If dedicated syntax is the way 1127 to go, should there be dedicated syntax for bulk producers, 1128 bulk consumers, and bulk conducers? What would it look 1129 like? What about vectored I/O¹⁵?

1130 Concerning the dedicated syntax, we took a lot of short-1131 cuts, not least of all the deliberately horrible syntax for con-1132 sumers. On the more formal side, what should be the proper 1133 - say, denotational - semantics of a conducer syntactic ele-1134 ment be? Given such formal semantics, what is a translation 1135 of the syntax into "normal" syntactic components of equiva-1136 lent semantics? Which "normal" constructs are particularly 1137 helpful - coroutines, continuations? Can you elegantly avoid 1138 such fancy constructs altogether?

Is the fact that conducers generalize coroutines a coincidence, or do conducers deserve study as a control-flow
mechanism in their own right? Coroutines are as expressive
as one-shot continuations, but strictly less expressive than
general continuations [MI09]. Where do conducers fall in
this spectrum?

What is up with the symmetry between producers and
consumers? Is there a general, formal setting for expressing APIs with a general, precise notion of duality, in which
producers and consumers are dual in a formal sense? Did
we simply not find it yet, or is this impossible? For infinite,
homogeneous sequences, producers and consumers are actually dual. Why, and where *exactly* do things go wrong when

adding dedicated final elements or effects such as irrecoverable errors?

How far can we take our unsatisfying substitutes for proper duality — symmetry and inverse-like composition? There is plenty of literature on proving iterators correct, see [BHMS22] for a recent example. How much of such literature carries over to consumers, and how much has to be redeveloped from scratch? This question should serve as a powerful motivation for finding a framing in which producers and consumers are fully dual. Similar thoughts apply to optimization techniques [KBPS17] or code synthesis[RML⁺12].

Session types $[DCD10][HLV^+16]$ aim to statically type communication patterns in a way that guarantees, for example, deadlock-freedom. Our explicit final item type allows us to also accurately type certain classes of communication patterns. How much overlap is there between our work and session types, can they benefit from each other?

Regarding more direct concerns of software engineering, which adaptors or combinators should make up the standard toolbox for composing sequence APIs? Which algebraic laws must they fulfil? What is a good technique for implementing combinators only once and then automatically deriving bulk versions? Conducers provide a good framing for unary combinators, but what about other combinators (say, a binary concatenation combinator)?

Producers and consumers strictly limit *where* they interact with a sequence. Aside from optimization details such as functions for providing estimates of the minimum and maximum number of items that can still be processed, the most obvious extension of our APIs is that of random-access. Readers and writers originate from the Unix notion of *files*, and *seeking* in a file is a core concern of this perspective. What do good APIs for seeking look like? Support for infinity sequences mandates relative offsets rather than absolute indexing. Does this mean that all such generalizations amount to Turing-machine APIs with a movable read/write head? Should writing do overwrites exclusively, or is there

^{1154 &}lt;sup>15</sup>https://en.wikipedia.org/wiki/Vectored_I/O

design space for elastic bands that support insertion of new
items in-between older items (as well as proper deletion)?
Can and should these two modes be captured in the same
API, or do they require separate abstractions? What does a
lattice of (sub-) APIs look like that provides a more nuanced
yet practically useful version of "everything is a file"?

Another avenue for generalization is provided by the ex-1217 pressive power of the APIs. Our producers and consumers 1218 1219 correspond to the $(\omega$ -) regular languages. Are there elegant 1220 APIs that capture the context-free languages? If you squint a lot, (sets of) producer types look quite similar to left-regular 1221 grammars – which should not be too surprising, given the 1222 relation with regular languages. What is the formal version 1223 of "squinting a lot"? Does it have an inverse? Which compu-1224 tational interpretation do you obtain by "unsquinting", say, 1225 the grammars in Chomsky normal form? 1226

Yet another (arguably more practically relevant) gener-1227 alization is from sequences to other graphs. What are ap-1228 propriate APIs for consuming or producing trees? How do 1229 1230 different traversal orders (breadth-first, depth-first, etc) fac-1231 tor into the API designs? What about APIs for exploring only a single path through a tree? Will there be a link between 1232 APIs for tree processing and grammars of context-free lan-1233 guages? How far can we take sensible APIs for traversing 1234 more complex graphs like DAGs or even arbitrary digraphs? 1235

1236 Finally, APIs with support for seeking in sequences or more complex graphs open up the question of who performs 1237 the seeking. In a traditional file system API for seeking in and 1238 reading from a file, it is the user code that invokes the seeking. 1239 But consider instead a texteditor that feeds changes to a text 1240 1241 buffer to some plugin. Here, the user code (i.e., the plugin) 1242 reads data, but it does not control where in the sequence it reads. The same kind of inverted seeking can happen for 1243 more complex data structures: a text editor might update 1244 a plugin about changes to a (higher-order) syntax tree, for 1245 example. We are not aware of any principled investigation 1246 1247 into such APIs.

1249 7 Further Reading

In this final section, we want to share some references that
 could be of interest to anyone wishing to pursue those open
 questions or to implement a library of sequence abstractions.

1253 We have primarily presented our API designs by deriv-1254 ing them from first principles, instead of relating them to 1255 existing designs. While there are plenty of languages and 1256 libraries to choose from for documentation of existing APIs, 1257 there is much less available material on the reasoning be-1258 hind those APIs. A notable exception are Oleg Kiselyov's 1259 iteratees [Kis12] and the resulting streamlined and well-1260 documented iterIO Haskell library¹⁶. Their expressivity 1261 and rich algebraic structures are remarkable, as is the view-1262 point of iteratees as communicating sequential processes.

¹⁶https://hackage.haskell.org/package/iterIO-0.2.2/docs/Data-IterIO.html

1266

1267

1268

1269

1270

1271

1272

1273

1274

1275

1276

1277

1278

1279

1280

1281

1282

1283

1284

1285

1286

1287

1288

1289

1290

1291

1292

1293

1294

1295

1296

1297

1298

1299

1300

1301

1302

1303

1304

1305

1306

1307

1308

1309

1310

1311

1312

1313

1314

1315

1316

1317

1318

1319

1320

Yet, the design differs significantly from ours, the inherent asymmetry is striking: enumerators and iteratees are not at all dual. Particularly interesting is the notion of Inums in the iterIO library: they fulfil the same role as our naïve conducers, while being completely asymmetric (and hence avoiding the problems that require us to move from naïve to actual conducers).

Kiselyov's treasure trove of a website¹⁷ contains several¹⁸ collections¹⁹ of writing²⁰ that pertain to sequence APIs. The writing focuses almost exclusively on producers, with barely a word on consumers or any notions of symmetry or duality. We find it quite exciting that there is such a deep take on the same material that reaches such different conclusions.

Functional reactive programming (FRP) is concerned with APIs for building systems on event streams, a good overview is given in [PBN16]. Whereas a sequence can be interpreted as a value evolving over discrete timesteps, FRP tackles the challenges of building abstractions (and efficient implementations) for values varying over a continuous notion of time. Discussion of FRP invariably turns to restricting the treatment of time to that of discrete event steps; this notion of FRP is all about what we called producers, discussing efficient implementation techniques, adapters, and combinators. A prominent example of this brand of FRP is the Elm language [CC13]. Appendix A contains a dozen popular javascript libraries for such FRP.

FRP stands on the shoulders of stream processing. An instructive survey by Stephens [Ste97] provides a good introduction. Like us, Stephens laments the lack of a unified theory underlying disparate API design efforts. The theory that Stephens then proposes is a mathematical one rather than one of API designs.

The implementation of iterators (and hence, producers and the symmetric consumers) in imperative langages is typically a highly stateful business. In many cases, particularly when no side-effects are involved, there exist purely functional alternatives [Bak93]. Gibbons and Oliveira [GO09] give a particularly thorough account that incorporates effect handling in a functional setting. The reader who has not spent years obtaining intimite familiarity with the Haskell standard library should be warned that reading this paper is a lot like reading the Silmarillion, in that a startling fraction of words past the introduction are made-up.

In discussing algebraic datatypes together with homogeneous array types as a representation for strict sequences in memory, we glossed over the fact that such representations do not allow numeric indexing. Such representations are also possible, even while maintaining static typing [KLS04]. The degree to which the strictly limited access provided by

1263

¹⁷https://okmij.org/ftp/

¹⁸https://okmij.org/ftp/Haskell/Iteratee/index.html
¹⁹https://okmij.org/ftp/Streams.html

nttps://okmij.org/πp/Streams.ntmi

²⁰https://okmij.org/ftp/Scheme/enumerators-callcc.html

1321

1322

1323

1324

1325

1339

1340

1375

1380

1381

1382

1383

1384

1385

1386

1387

1388

1389

1390

1391

1392

1393

1394

1395

1396

1397

1398

1399

1400

1401

1402

1403

1404

1405

1406

1407

1408

1409

1410

1411

1412

1413

1414

1415

1416

1417

1418

1419

1420

1421

1422

1423

1424

1425

1426

1427

1428

1429

1430

producers and consumers simplifies typing compared to a [KLS04] random-access collection is remarkable. We finish with a few pieces of literature on iterators that arguably did not stand the test of time, but which provide some creative input to the design space. Interruptible Iterators [LKM06] provide an alternative to [LH18]

Interruptible Iterators [LKM06] provide an alternative to
generator syntax for implementing iterators. *Interrupts* aim
to allow for easy implementation of internal state changes
between or during iteration steps.

Segmented iterators [Aus00] address efficiency concerns
when working with segmented data structures such as hash
tables that consist of several, disjoint arrays of items.

Iterators in the *swapping paradigm* [WEHL94] tackle difficulties in formally verifying properties of iterators. They
reimagine iterator for programming laguages that do not *copy* values by default, but *swap* them instead. This programming model anticipates the linear-type-like move semantics
of languages like Rust.

¹³⁴¹ References

- [AP21] Danel Ahman and Matija Pretnar. Asynchronous effects. Proceedings of the ACM on Programming Languages, 5(POPL):1–28,
 2021.
- 1345[Aus00] Matthew H Austern. Segmented iterators and hierarchical
algorithms. In Generic Programming: International Seminar on
Generic Programming Dagstuhl Castle, Germany, April 27–May
1, 1998 Selected Papers, pages 80–90. Springer, 2000.
- [Bak93] Henry G Baker. Iterators: Signs of weakness in object-oriented languages. ACM SIGPLAN OOPS Messenger, 4(3):18-25, 1993.
- [BHMS22] Aurel Bílý, Jonas Hansen, Peter Müller, and Alexander J Summers. Compositional reasoning for side-effectful iterators and iterator adapters. *arXiv preprint arXiv:2210.09857*, 2022.
- 1352[CC13] Evan Czaplicki and Stephen Chong. Asynchronous func-
tional reactive programming for guis. ACM SIGPLAN Notices,
48(6):411-422, 2013.
- 1355[DCD10]Mariangiola Dezani-Ciancaglini and Ugo De'Liguoro. Sessions1356and session types: An overview. In Web Services and Formal1357Methods: 6th International Workshop, WS-FM 2009, Bologna,1358Italy, September 4-5, 2009, Revised Selected Papers 6, pages 1–28.1358Springer, 2010.
- 1359[GO09] Jeremy Gibbons and Bruno C d S Oliveira. The essence of1360the iterator pattern. Journal of functional programming, 19(3-13614):377-402, 2009.
- 1362[HLV+16]Hans Hüttel, Ivan Lanese, Vasco T Vasconcelos, Luís Caires,
Marco Carbone, Pierre-Malo Deniélou, Dimitris Mostrous, Luca1363Padovani, António Ravara, Emilio Tuosto, et al. Foundations1364of session types and behavioural contracts. ACM Computing1365Surveys (CSUR), 49(1):1–36, 2016.
- [HU69] John E Hopcroft and Jeffrey D Ullman. Formal languages and their relation to automata. Addison-Wesley Longman Publishing Co., Inc., 1969.
- [Ier06] Roberto Ierusalimschy. Programming in lua. Roberto Ierusal-imschy, 2006.
- [KBPS17] Oleg Kiselyov, Aggelos Biboudis, Nick Palladinos, and Yannis
 Smaragdakis. Stream fusion, to completeness. In *Proceedings* of the 44th ACM SIGPLAN Symposium on Principles of Programming Languages, pages 285–299, 2017.
- [Kis12] Oleg Kiselyov. Iteratees. In International Symposium on Functional and Logic Programming, pages 166–181. Springer, 2012.

- [KLS04] Oleg Kiselyov, Ralf Lämmel, and Keean Schupke. Strongly
 1376

 typed heterogeneous collections. In Proceedings of the 2004
 1377

 ACM SIGPLAN Workshop on Haskell, pages 96–107, 2004.
 1378

 [Lei17] Daan Leijen. Structured asynchrony with algebraic effects. In
 1378
- Proceedings of the 2nd ACM SIGPLAN International Workshop on Type-Driven Development, pages 16–29, 2017.
- [LH18] Erick Lavoie and Laurie Hendren. A formalization for specifying and implementing correct pull-stream modules. arXiv preprint arXiv:1801.06144, 2018.
- [LKM06] Jed Liu, Aaron Kimball, and Andrew C Myers. Interruptible iterators. In Conference record of the 33rd ACM SIGPLAN-SIGACT symposium on Principles of programming languages, pages 283– 294, 2006.
- [MI09] Ana Lúcia De Moura and Roberto Ierusalimschy. Revisiting coroutines. ACM Transactions on Programming Languages and Systems (TOPLAS), 31(2):1–31, 2009.
- [MMB⁺13] Toby Murray, Daniel Matichuk, Matthew Brassil, Peter Gammie, Timothy Bourke, Sean Seefried, Corey Lewis, Xin Gao, and Gerwin Klein. sel4: from general purpose to a proof of information flow enforcement. In 2013 IEEE Symposium on Security and Privacy, pages 415–429. IEEE, 2013.
- [PBN16] Ivan Perez, Manuel Bärenz, and Henrik Nilsson. Functional reactive programming, refactored. ACM SIGPLAN Notices, 51(12):33–44, 2016.
- [RML⁺12] Derek Rayside, Vajihollah Montaghami, Francesca Leung, Albert Yuen, Kevin Xu, and Daniel Jackson. Synthesizing iterators from abstraction functions. In Proceedings of the 11th International Conference on Generative Programming and Component Engineering, pages 31–40, 2012.
 - [Ste97] Robert Stephens. A survey of stream processing. Acta Informatica, 34:491–541, 1997.
- [Wad95] Philip Wadler. Monads for functional programming. In Advanced Functional Programming: First International Spring School on Advanced Functional Programming Techniques Båstad, Sweden, May 24–30, 1995 Tutorial Text 1, pages 24–52. Springer, 1995.
- [WB89] Philip Wadler and Stephen Blott. How to make ad-hoc polymorphism less ad hoc. In Proceedings of the 16th ACM SIGPLAN-SIGACT symposium on Principles of programming languages, pages 60–76, 1989.
- [WEHL94] Bruce W. Weide, Stephen H. Edwards, Douglas E. Harms, and David Alex Lamb. Design and specification of iterators using the swapping paradigm. *IEEE Transactions on Software Engineering*, 20(8):631–643, 1994.
 - [ZBL20] Tian Zhao, Adam Berger, and Yonglun Li. Asynchronous monad for reactive iot programming. In Proceedings of the 7th ACM SIGPLAN International Workshop on Reactive and Event-Based Languages and Systems, pages 25–37, 2020.

A Appendix: Javascript Libraries

This list of javaScript libraries for working with lazy sequences is intended to demonstrate that there is a clear need for a solid design that people can fall back to rather than reinventing ad-hoc wheels over and over. We list libraries with at least 200 stars on Github, as of February 2024, found by searching Gihub for "stream", "observable", and "reactive".

- https://github.com/staltz/xstream
- https://github.com/mafintosh/streamx
- https://github.com/getify/monio
- https://github.com/getify/asynquence
- https://github.com/cyclejs/cyclejs

Aljoscha Meyer

| 1431 | https://github.com/winterbe/streamjs | |
|------|---|-----|
| 1432 | https://github.com/winterbe/sequency | |
| 1433 | https://github.com/pull-stream/pull-stream | |
| 1434 | https://github.com/dionyziz/stream.js | |
| 1435 | https://github.com/caolan/highland | |
| 1436 | https://github.com/kefirjs/kefir | |
| 1437 | https://github.com/baconjs/bacon.js | |
| 1438 | https://github.com/cujojs/most | P |
| 1439 | https://github.com/callbag/callbag | run |
| 1440 | https://github.com/paldepind/flyd | |
| 1441 | The following libraries do not explicitly define streams, | |
| 1442 | but they do work with <i>observables</i> . Observables are an ab- | |
| 1443 | straction for values that (discretely) vary over time. For most | |
| 1444 | intents and purposes, this is isomorphic to the notion of a | |
| 1445 | stream. | |
| 1446 | · letter of // with the same / was at it you / with | |

- https://github.com/reactivex/rxjs
 https://github.com/tc20/proposal
 - https://github.com/tc39/proposal-observable
 - https://github.com/zenparsing/zen-observable
 - https://github.com/vobyjs/oby

https://github.com/adamhaile/S

| Aljoscha Meyer | |
|--|------|
| https://github.com/luwes/sinuous | 1486 |
| https://github.com/mobxjs/mobx | 1487 |
| https://github.com/fynyky/reactor.js | 1488 |
| https://github.com/ds300/derivablejs | 1489 |
| https://github.com/elbywan/hyperactiv | 1490 |
| https://github.com/component/reactive | 1491 |
| https://github.com/mattbaker/Reactive.js | 1492 |
| All these libraries exist in addition to language-level or | 1493 |
| intime-level APIs such as the following: | 1494 |
| Node IS Streams, and their evolution: | 1495 |
| – streams0 | 1496 |
| - streams1 | 1497 |
| - streams2 | 1498 |
| - streams3 | 1499 |
| | 1500 |

- WHATWG Streams
- ECMAScript Iterator
- ECMAScript AsyncIterator

Of these roughly 30 competing designs, the pull-streams API is the only one for which we are aware of any academic treatment [LH18].